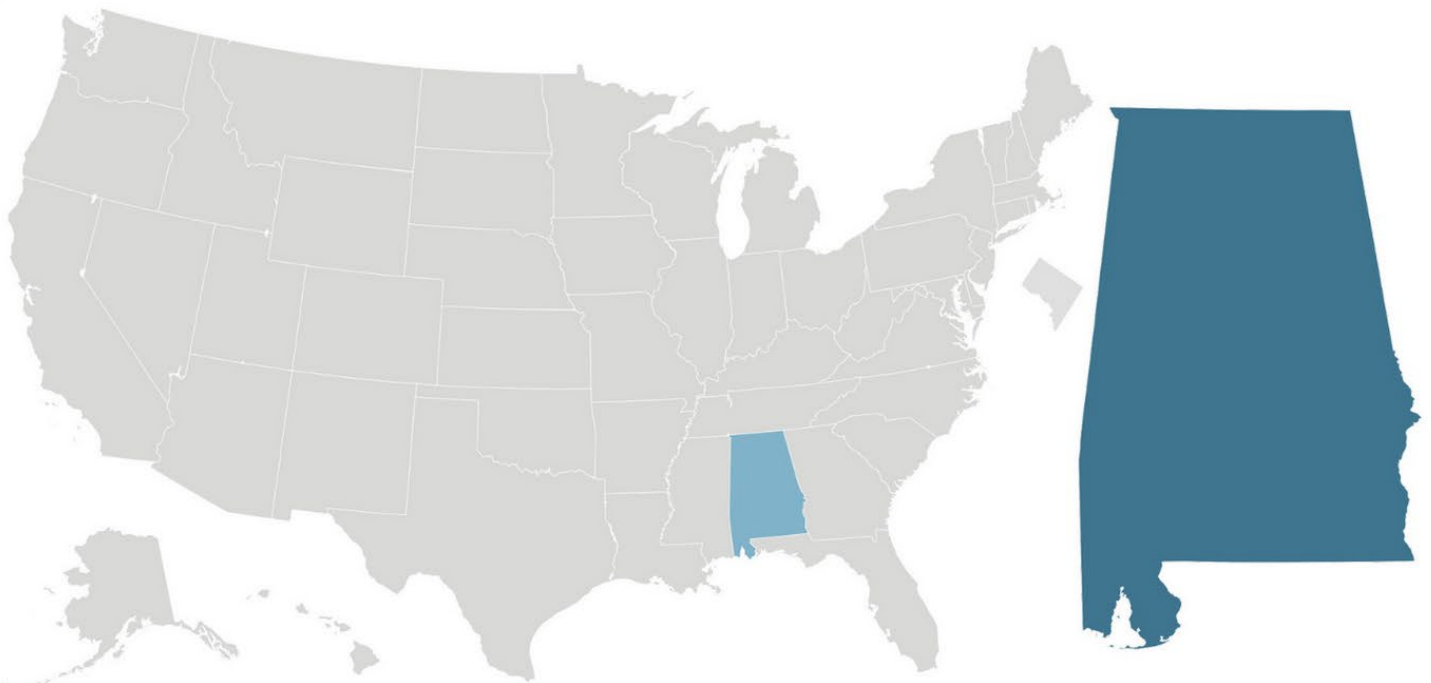




**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

**Alabama**

# 2022 STATE REPORTS – UNDERAGE DRINKING PREVENTION AND ENFORCEMENT



**ICCPUD**

**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

ICCPUD

This *State Report* is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State’s performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on the Prevention of Underage Drinking (ICCPUD), chaired by Miriam Delphin-Rittmon, Ph.D., the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services (HHS).

**Time Period Covered by this *State Report*:** This *State Report* primarily includes data from calendar year 2020 and 2021. Regional and state profile data were drawn from the most recently available federal survey data as of 2020. State legal data reflect the status of the law as of January 1, 2021. State survey data, collected in 2021, were drawn from the most recent 12-month period in which the states maintained the data.

**Source of Data:** For each state, overall population information was taken from 2010 Census data. Data about the portion of each state’s population comprising 12-to 20-year-olds, as well as facts about past-month alcohol use and binge use, were averaged from the 2017 through 2020 National Surveys on Drug Use and Health (NSDUH), SAMHSA’s Center for Behavioral Health Statistics and Quality (CBHSQ), and the NSDUH special data analysis (2021). Confidence intervals for these estimates are available from CBHSQ’s Division of Surveillance and Data Collection on request. The Centers for Disease Control and Prevention’s Alcohol-Related Disease Impact (ARDI) application (updated May 2022) served as the resource for data about alcohol-attributable deaths from 2015–2019 among youth under age 21. ARDI was also the source for state-level data on years of potential life lost as a result of underage alcohol-related fatalities. The National Center for Statistics and Analysis’s Fatality Analysis Reporting System (FARS) provided the 2020 data used to present statistics about fatalities among 15- to 20-year-old drivers. State legal policy data were obtained from the following sources: 1) the National Institute on Alcohol Abuse and Alcoholism’s Alcohol Policy Information System (APIS) website (<https://alcoholpolicy.niaaa.nih.gov/>); 2) legal research planned and managed by the ICCPUD.

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# ALABAMA



**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)



## Alabama

**State Population: 4,921,532**

**Population Ages 12–20: 607,000**

Past-Month Alcohol Use	
<b>Ages 12–20</b>	
Past-Month Alcohol Use – Number (Percentage)	91,000 (15.0%)
Past-Month Binge Alcohol Use – Number (Percentage)	50,000 (8.3%)
<b>Ages 12–14</b>	
Past-Month Alcohol Use – Number (Percentage)	4,000 (2.3%)
Past-Month Binge Alcohol Use – Number (Percentage)	1,000 (0.5%)
<b>Ages 15–17</b>	
Past-Month Alcohol Use – Number (Percentage)	27,000 (14.1%)
Past-Month Binge Alcohol Use – Number (Percentage)	14,000 (7.3%)
<b>Ages 18–20</b>	
Past-Month Alcohol Use – Number (Percentage)	60,000 (25.6%)
Past-Month Binge Alcohol Use – Number (Percentage)	36,000 (15.2%)
<b>Adults Ages 21+</b>	
Past-Month Alcohol Use – (Percentage)	1,707,000 (48.8%)
Past-Month Binge Alcohol Use – (Percentage)	835,000 (23.9%)
Average Age of Initiation	
Average Age of Initiation	16.4
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21 <sup>1</sup>	
Alcohol-Attributable Deaths (under 21)	87
Years of Potential Life Lost (under 21)	4,938
Fatal Crashes Involving a 15- to 20-Year-Old Driver With Blood Alcohol Concentration (BAC) > 0.01% <sup>2</sup>	
Number of Fatalities Involving 15- to 20-Year-Old Driver With BAC > 0.01%	18
Percentage of All Fatal Crashes Involving a 15- to 20-Year-Old Driver	14%

<sup>1</sup> The Alcohol-Related Disease Impact Application was updated May 2022 to reflect new methodology for calculating the average annual alcohol-attributable deaths, and it reflects national and state annual averages from 2015–2019.

<sup>2</sup> Alcohol-related fatalities are estimates derived from a sophisticated statistical procedure. The estimates are rounded to the nearest whole number; however, percentages as displayed are calculated from the unrounded estimates and may not equal those calculated from the rounded estimates. Totals may not equal the sum of components due to independent rounding.



## Behavioral Health and Substance Abuse Service System<sup>1</sup>

The Alabama Department of Mental Health (ADMH) was established by Alabama Acts 1965, No. 881, Section 22-50-2. A cabinet-level state government agency, ADMH has the authority to act in any prudent way to provide mental health and intellectual disability services for the people of Alabama. Act 881 defines “mental health services” as the diagnosis of, treatment of, rehabilitation for, follow-up care of, prevention of and research into the causes of all forms of mental or emotional illnesses, including but not limited to, alcoholism, drug addiction, or epilepsy in combination with mental illness or intellectual disability.

ADMH is comprised of three unique divisions: (1) Administration, (2) Intellectual Disabilities, and (3) Mental Health and Substance Abuse Services. Each division operates under the direction and control of its own Associate Commissioner who is appointed by and reports directly to the ADMH Commissioner. The Commissioner reports directly to the Governor. A Board of Trustees, appointed by the Governor, serves in an advisory capacity to the Commissioner.

Among its designated powers, ADMH is authorized to plan, supervise, coordinate, and establish standards for all operations and activities of the State of Alabama, including the provision of services, related to intellectual disability and mental health. ADMH’s two service divisions, the Intellectual Disabilities Division and the Mental Health and Substance Abuse Services Division have primary responsibility for accomplishment of these tasks.

ADMH is designated as the single state agency (SSA) in Alabama authorized to receive and administer any and all funds available from any source to support the provision of services and other activities within the scope of its statutory authority. This responsibility includes receipt and administration of the Mental Illness and Substance Abuse Block Grants provided by the Substance Abuse and Mental Health Services Administration (SAMHSA). ADMH’s decision to submit separate SAMHSA block grant applications for mental illness and substance use services, respectively, for FY 22 – FY 23 allows for more realistic planning based upon currently identified needs, than does submission of a combined application that plans for a behavioral health division that remains under development.

### Organization of Substance Abuse Service Delivery System

Act 881 grants ADMH statutory responsibility for operation and regulation of Alabama’s public substance abuse service delivery system. Specific responsibilities, as implemented through the Division of Mental Illness and Substance Abuse Services (the Division), include:

- Planning, development, coordination, and management of a comprehensive system of prevention, treatment and recovery support services for individuals adversely impacted by, or with the potential to be adversely impacted, by alcohol, tobacco, and/or other drug use;
- Resource solicitation, development, and dissemination;

<sup>1</sup> Extracted from fiscal year (FY) 2022/2023 – (Alabama) State Behavioral Health Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant (SABG), CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Section II: Planning Steps, One. Assess the strengths and needs of the service system to address the specific populations.

- Funding solicitation, receipt, and allocation;
- Contracting for service delivery and contract compliance monitoring;
- Development of program certification regulations, and management and implementation of a regulatory review process;
- Development and dissemination of best practice guidelines for prevention, treatment, and recovery support services;
- Collaboration with state and local government and community-based organizations to support fulfillment of its statutory responsibilities;
- Protection of patient rights, confidentiality, and privacy; and
- Collaboration with service recipients and advocates to support systems improvements and enhanced service outcomes.

ADMH does not operate any substance abuse prevention, treatment, or recovery support programs or directly provide any related services. The agency has established the State's public system of services through the execution of contractual agreements with eighty-six (86) community based private and public entities located throughout Alabama. Each of these organizations receives funds from ADMH to provide one (1) or more of fifteen (15) levels of care that together, compose the state's treatment service continuum, funds to provide one or more of the six (6) primary preventions strategies, and/or funds to provide recovery support services. ADMH also certifies fifteen (15) other providers with which there is no contractual relationship.

The SABG provided by SAMHSA is the primary funding source for Alabama's public system of substance abuse services. In addition, state funding is provided by the Alabama State Legislature. Utilizing ADMH as the payment conduit, the Alabama Medicaid Agency also makes available reimbursement to qualified provider organizations for services delivered to eligible Medicaid beneficiaries. These services are reimbursable through Medicaid's nonemergency transportation and rehabilitation option programs. For all three funding sources, providers are reimbursed by ADMH on a fee for service basis.

### **Primary Prevention Services**

As noted above, ADMH does not operate substance abuse prevention programs, or directly provide any related services. The agency currently enlists the services of twenty-three (23) certified prevention programs across the state in this regard. ADMH has established the state's public system of services through the execution of contractual agreements with these private and public entities located throughout Alabama and include representation of all four-substance abuse regional planning areas.

ADMH utilizes twenty percent (20%) of its SABG allocation for the provision of prevention services for individuals who do not require treatment for substance use disorders. Contractors are required to:

- a) Educate and counsel individuals on substance abuse.
- b) Provide for activities to reduce the risk of such abuse by the individuals.
- c) Give priority to populations that are at risk of developing a pattern of such abuse and develop community-based strategies for prevention of such abuse, including strategies to discourage

the use of alcoholic beverages and tobacco products by individuals to whom it is unlawful to sell or distribute such beverages or products.

- d) Use funds provided for the provision of comprehensive primary prevention programs that include activities and services provided in a variety of settings for both the general population, as well as targeting sub-groups who are at high risk for substance abuse.
- e) Identify the type of target population for service provision based on the Institute of Medicine categories: Universal, Selective, or Indicated.
- f) Use a variety of strategies, as appropriate for each target group, including but not limited to the following (1) information dissemination; (2) education; (3) alternative programs; (4) problem identification and referral; (5) community-based process; (6) environmental.

The majority of ADMH provided prevention funding is directed towards environmental, community-based processes, and alternative activities. A minimum of 50 percent of the contractor's ADMH SABG provided funding must be expended for implementation of environmental strategies. All strategies must also incorporate the utilization of evidenced-based programs from the Evidence-based Practices Resource Center.

## Youth Services

ADMH certifies eighteen (18) adolescent substance use disorder treatment. Youth between the ages of 13-18 who meet DSM-V criteria are eligible for treatment services. These treatment providers offer services for youth that engage the patient and family in recovery efforts. Treatment addresses the patient's psychosocial needs along with the substance use disorder. Treatment approaches are evidenced based and modalities include residential and outpatient programs with varying intensities to meet patient needs. Services include family, group, individual counseling as well as educational sessions and other support services such as case management and peer support. Adolescent services also include in-home and school-based counseling when appropriate. Treatment providers are expected to have formal linkages with other community social service entities for referrals to ensure that individualized needs are being addressed.

## Expenditures for Substance Abuse Prevention and Treatment

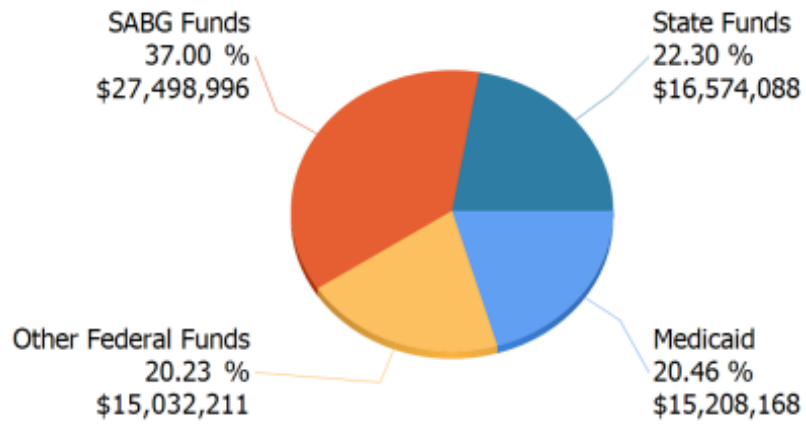
All states receive federal funds for substance abuse prevention through SABG funds administered by SAMHSA. Exhibit 1 shows the sources that Alabama used for expenditures on substance abuse prevention and treatment in 2021. As indicated, SABG funds and state funds account for the largest sources (37.0 percent and 22.3 percent, respectively).<sup>2</sup>

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2022-2023, Alabama designated the prevention of underage drinking as the number one priority for use of SABG funds.<sup>3</sup>

<sup>2</sup> WebBGAS State Profile, 2021 SABG and Community Mental Health Block Grant (MHBG) Reports – Alabama 2021.

<sup>3</sup> FY 2022/2023 – (Alabama) State Behavioral Assessment and Plan, SABG, CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators.

**Exhibit 1: Sources of Alabama’s 2021 Expenditures for Substance Abuse Prevention and Treatment**





## State Performance: Laws, Enforcement, and Programs

As mandated by the STOP Act, this report details Alabama’s performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.

The following sections address these measures:

***State Laws and Policies:*** These underage drinking prevention policies have been identified as best practices (or as promising practices suitable for ongoing evaluation) and fall into six categories:

- underage possession or purchase of alcohol
- underage drinking and driving
- alcohol availability
- sales and delivery to consumers at home
- alcohol pricing
- enforcement policies

***STOP Act State Survey Data:*** The STOP Act requires annual reporting of data from the 50 states and the District of Columbia on their performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking. Administered since 2011, the STOP Act State Survey collects data on the following topics:

- enforcement programs to promote compliance with underage drinking laws and regulations
- programs targeted to youth, parents, and caregivers to deter underage drinking
- state interagency collaborations to implement prevention programs, best-practice standards, collaborations with tribal governments, and participation in underage drinking media campaigns
- state expenditures on the prevention of underage drinking

## Underage Possession or Purchase of Alcohol

Alabama Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is possession allowed if parent or guardian is present or consents?</li> <li>• Is possession allowed if spouse is present or consents?</li> </ul>	No No
Is there an exception based on location?	No

Alabama-Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is consumption allowed if parent or guardian is present or consents?</li> <li>• Is consumption allowed if spouse is present or consents?</li> </ul>	No No
Is there an exception based on location?	No

Alabama-Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is internal possession allowed if parent or guardian is present or consents?</li> <li>• Is internal possession allowed if spouse is present or consents?</li> </ul>	N/A N/A
Is there an exception based on location?	NA

Alabama-Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

Alabama-Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	

Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
<b>Retailer Support Provisions</b>	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Do state statutes or regulations mandate that state driver's licenses for persons under 21 be easily distinguishable from licenses for persons 21 and over?	No
May the retailer seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	No
<ul style="list-style-type: none"> <li>Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	N/A
<ul style="list-style-type: none"> <li>Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?</li> </ul>	N/A
Does the retailer have the right to sue the minor for use of a false ID?	No
May the retailer detain a minor who used a false ID?	No

### Underage Drinking and Driving

<b>Alabama-Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)</b>	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

<b>Alabama-Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)</b>	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
<ul style="list-style-type: none"> <li>Purchase of alcohol</li> </ul>	Yes

• Possession of alcohol	Yes
• Consumption of alcohol	Yes
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	90
Maximum number of days	180

Alabama-Graduated Driver's Licenses	
<b>Learner Stage</b>	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	0 with driver education; 50 hours without
<b>Intermediate Stage</b>	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	Midnight
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes
Are there restrictions on passengers?	Yes, no more than one passenger who is not a parent, guardian, family member or person at least 21 years of age.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes
<b>License Stage</b>	
What is the minimum age for full license privileges and lifting of restrictions?	17

### Alcohol Availability

Alabama-Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
• Is furnishing allowed if the parent or guardian supplies the alcohol?	No
• Is furnishing allowed if the spouse supplies the alcohol?	No

Is there an exception based on location?	No
<b>Affirmative Defense for Sellers and Licensees</b>	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

<b>Alabama-Responsible Beverage Service (RBS)—Voluntary</b>	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	Yes
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

<b>Alabama-Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)</b>	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	21
Does a manager or supervisor have to be present when an underage person is selling beverages?	Yes
Notes: A minor employee of an off-premises retail licensee may handle, transport, or sell beer or table wine, provided there is an adult employee in attendance at all times.	

<b>Alabama-Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)</b>	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	19
Wine	19
Spirits	19

What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present when an underage person is selling beverages?	Yes

Alabama-Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Alabama-Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No

Alabama-Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Alabama-Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	Yes

Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	Specific
What action by underage guest triggers a violation?	Possession and consumption
Property type covered by the law?	Residential/other
What level of knowledge by the host is required?	Knowledge (Host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	Yes
Are there any exceptions for underage guests?	No
Notes: Alabama's provision requires that the adult social host be in attendance at the gathering or party in order for a violation to occur. The "preventive action" provision in Alabama requires the prosecution to prove that the host failed to take preventive action.	

Alabama-Keg Registration	
How is a keg defined (in gallons)?	No law
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No law
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No law
What purchaser information is collected?	
Must the retailer collect the name and address?	No law
Must the retailer collect the ID number, name and address on license or other government information?	No law
Must the retailer collect the address at which keg will be consumed?	No law
Must warning information be given to purchaser?	No law
Is a deposit required?	No law
Does law cover disposable kegs?	No law

Alabama-High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No; however, this state is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

## Sales and Delivery to Consumers at Home

Alabama-Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Alabama-Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Alabama-Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited



Alabama-Direct to Consumer	
Is there a policy allowing on-premises retailers to deliver alcohol to a consumer at home?	Yes
Which on-premises retailers can provide delivery of alcoholic beverages? <ul style="list-style-type: none"> <li>• Restaurant</li> <li>• Bar license</li> <li>• Third party license</li> </ul>	Yes, with state permit No Yes, with state permit
Which types of alcohol are permitted to be delivered? <ul style="list-style-type: none"> <li>• Beer</li> <li>• Wine</li> <li>• Spirits</li> <li>• Mixed Drinks</li> </ul>	Yes Yes Yes No
Requirements and Restrictions	
Are there restrictions in place addressing details of the delivery? <ul style="list-style-type: none"> <li>• Hours limited</li> <li>• Amount of alcohol limited</li> <li>• Food requirement</li> </ul>	No Yes Yes
Are there certain requirements that the delivery person must meet? <ul style="list-style-type: none"> <li>• Must be 21</li> <li>• Must check ID at point of delivery</li> <li>• Must receive payment regardless of delivery completion</li> </ul>	Yes Yes Yes
Notes: In any single 24-hour period, the following alcohol quantities are limited: beer, 1440 ounces (120 12oz bottles); draft beer, 288 ounces; wine, 9,000 milliliters (12 750mL bottles); and distilled spirits, 375 milliliters.	

## Alcohol Pricing

Alabama-Alcohol Taxes	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$1.05
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
<b>Wine</b>	
Control system for wine?	Yes
Specific excise tax per gallon for 12% alcohol wine	Not relevant
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	Not relevant
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	Not relevant
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	

<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	
Additional taxes for 6 – 14% alcohol wine if applicable	
<b>Spirits</b>	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	Not relevant
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	Not relevant
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	Not relevant
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	
Additional taxes for 15 – 50% alcohol spirits if applicable	

<b>Alabama-Low-Price, High-Volume Drink Specials</b>	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes
Reduced price for a specified day or time (i.e., happy hours)	Restricted (Permitted 10 a.m. - 9 p.m.)

Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Alabama-Wholesaler Pricing Restrictions	
<b>Beer</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<b>Wine</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<b>Spirit</b>	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

### Enforcement Policies

Alabama-Compliance Check Protocols	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified

What is the maximum age a decoy may be to participate in a compliance check?	Not specified
Are there appearance requirements for the decoy?	No
Does decoy carry ID during compliance check?	Not specified
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Mandated

<b>Alabama-Penalty Guidelines for Sales to Minors</b>	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Four years
What is the penalty for the first offense?	\$100 to \$1,000 fine
What is the penalty for the second offense?	\$100 to \$1,000 fine
What is the penalty for the third offense?	N/A
What is the penalty for the fourth offense?	N/A

## Alabama State Survey Responses

## State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Alabama Law Enforcement Agency (ALEA)

## Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	No

State has a program to investigate and enforce direct sales/shipment laws No

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Not applicable

Such laws are also enforced by local law enforcement agencies Not applicable

## Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession<sup>1</sup> by state law enforcement agencies 741

Number pertains to the 12 months ending 09/30/2020

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations<sup>2</sup> to determine whether alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state<sup>3</sup> 10,366

Number of licensees checked for compliance by state agencies (including random checks) 5,556

Number of licensees that failed state compliance checks 256

Numbers pertain to the 12 months ending 09/30/2020

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations N/A

Number of licensees that failed **random** state compliance checks N/A

Local agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

## Sanctions

State collects data on fines imposed on retail establishments that furnish to minors Yes

Number of fines imposed by the state<sup>4</sup> 126

Total amount in fines across all licensees \$271,800

Smallest fine imposed \$500

Largest fine imposed \$1,000

Numbers pertain to the 12 months ending 12/31/2020

<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing to minors</i>	Yes
Number of suspensions imposed by the state <sup>5</sup>	9
Total days of suspensions across all licensees	606
Shortest period of suspension imposed (in days)	3
Longest period of suspension imposed (in days)	365
Numbers pertain to the 12 months ending	12/31/2020
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing to minors</i>	Yes
Number of license revocations imposed <sup>6</sup>	0
Numbers pertain to the 12 months ending	12/31/2020

#### Additional Clarification

No data

<sup>1</sup> Or having consumed or purchased per state statutes.

<sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

<sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.

<sup>4</sup> Does not include fines imposed by local agencies.

<sup>5</sup> Does not include suspensions imposed by local agencies.

<sup>6</sup> Does not include revocations imposed by local agencies.

#### Underage Drinking Prevention Programs Operated or Funded by the State

##### **Drug Education Council**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.drugeducation.org/">https://www.drugeducation.org/</a>

**Program Description:** Drug Education Council (DEC) is a voluntary, nonprofit organization dedicated to promoting a drug-free society, preventing chemical dependency, and providing quality education, information, and intervention programs. DEC is located in Mobile, Alabama, and it is certified in prevention services through the Alabama Department of Mental Health (ADMH). DEC uses three of the six Center for Substance Abuse Prevention (CSAP) strategies, to include information dissemination, community-based processes, and problem identification and referral. DEC's target focus is high-risk youth in rural, urban, and suburban communities. The council provides an array of prevention services and community service activities to youth through evidence-based programming for universal populations. DEC provides information dissemination with a focus on the "Talk. They Hear You." campaign. DEC promotes community-based processes by focusing on coalition-building and stakeholder activities to include youth-adult partnerships addressing community issues; needs assessments and resource assessments; community and volunteer training; multiagency coordination and collaboration/coalition; community team-building activities; and coalitions, collaborations and/or wellness teams, town hall meetings, and coalition meetings. DEC incorporates the problem identification and referral strategy by utilizing the "Staying Connected with Your Teen" program.

##### **Alcohol and Drug Abuse Treatment Center, Inc.**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://adatc.org/">https://adatc.org/</a>

**Program Description:** Alcohol and Drug Abuse Treatment Center, Inc. (ADATC) is a certified prevention provider located in Birmingham, Alabama. ADATC utilizes four of the six CSAP strategies—education, environmental, community-based processes, and alternatives—to implement prevention services to high-risk youth. These services include coordinated efforts with the Boys and Girls Club, law enforcement, education, and Children's Policy Council. Strategies are implemented in a variety of mediums at various venues in the community, including “Too Good for Drugs and Violence” (an evidence-based curriculum), recreational and social events, town hall meetings, a youth prevention network of peer leaders/helpers, community service projects, and others. The environmental strategy focuses on compliance checks and the utilization of the “Parents Who Host, Lose the Most” campaign for all five counties served.

**Aletheia House**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.specialkindofcaring.org/">https://www.specialkindofcaring.org/</a>

**Program Description:** Aletheia House (AH) is a community-based organization committed to empowering individuals and the communities in which they live with the skills and services they need to become responsible for their own well-being. AH is certified as a substance abuse prevention provider by ADMH and provides prevention services to youth in the Birmingham area (considered urban), as well as Macon County (considered rural). AH utilizes education, alternative, and community-based process strategies to implement its prevention programs. AH uses the evidence-based Positive Action curriculum to deliver prevention programs during summer programs. Alternative strategies are implemented by providing a summer camp for youth, recognition events, culturally based activities, and intergenerational events that promote positive family and community interaction. AH anticipates serving approximately 100 youth through these efforts. Signature youth prevention programming includes Kids Who Care. The community-based process strategy is allowing for the creation of a “Teens Who Care” group and the organization of town hall meetings. It is anticipated that 40 teens will be recruited for Teens Who Care.

**The Mountain Lakes Behavioral Healthcare Substance Abuse Prevention Program**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.mlhcwebpage.com/">https://www.mlhcwebpage.com/</a>

**Program Description:** The Mountain Lakes Behavioral Healthcare (MLBH) Substance Abuse Prevention Program focuses on utilizing the Too Good for Drugs curriculum with fifth grade students. MLBH is certified by ADMH to deliver prevention services. MLBH implements four of the six CSAP strategies: Education is implemented using the Too Good for Drugs evidence-based curriculum to fifth graders in Jackson and Marshall Counties. Alternative strategies are implemented with students receiving prevention education through MLBH. The community-based process strategy is designed to create a subcommittee through the Children’s Policy Council. The environmental strategy focuses on school policy and DUI checkpoints and utilizes the “Talk. They Hear You.” campaign and the “Drive Sober or Get Pulled Over” campaign.

**Wellstone Behavioral Health**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data



Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.wellstone.com/">https://www.wellstone.com/</a>

**Program Description:** Wellstone is certified by ADMH to provide prevention services. Located in Huntsville, Alabama, Wellstone primarily serves the residents of Madison County in northern Alabama. Since merging with Mental Healthcare of Cullman, prevention programming also extends to Cullman County. It implements three of the six CSAP strategies in Cullman County to include information dissemination, environmental, and community-based processes.

Wellstone has established partnerships with the following agencies: Wallace State Community College, Cullman County Sheriff Office, Cullman County Police Department, Hanceville Police Department, Cullman County Coroner, The Bridge Adolescent Treatment Center, Cullman County Department of Human Resources, Cullman City and County Schools, coalitions, advocacy organizations, media, local churches, and businesses. In Cullman County, prevention programming is located on the campus of Wallace State Community College in Hanceville, Alabama. Prevention resources are available to all students and faculty Monday through Friday. The office provides a relaxed environment that promotes healthy peer interactions while providing information about the risks of alcohol/drug use and abuse. Through this program, the prevention coordinator has the opportunity to build and establish healthy relationships with students and coordinate efforts with campus police. The overall goal is to reduce underage drinking. The prevention coordinator works with campus law enforcement and the administration to collect data on related incidents and reviews infractions with campus police to identify areas to increase, enhance, or implement further prevention efforts. The goals related to the community-based process strategy include the creation of a community coalition and community needs assessment. The environmental strategy incorporates countywide DUI checkpoints.

#### **Agency for Substance Abuse Prevention**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://asaprev.com/">https://asaprev.com/</a>

**Program Description:** The Agency for Substance Abuse Prevention (ASAP) is dedicated to strengthening community awareness through substance abuse education and prevention services provided to individuals, schools, industry, and affected individuals and families residing in the State of Alabama. ASAP implements four of the six CSAP strategies to include environmental, community-based processes, education, and alternatives. The agency also utilizes community events as additional forums. In addition, ASAP plans to focus on community planning for prevention efforts by the establishment of a subcommittee. The evidence-based program, "Mendez Too Good for Drugs," targets fifth through eighth graders in the Anniston City and Calhoun County area as an educational strategy. Alternative strategies include summer activities at the following locations: Tenth Street Elementary; Boys and Girls Clubs: Norwood Community Center, Constantine Community Center, and Glen Addie Community Center. The summer enrichment activities include the implementation of recreational activities, community service, prevention board games, etc. organized and lead by ASAP. ASAP uses the environmental strategy through its coordination with law enforcement to identify "hot spots" in targeted geographic areas and initiate prevention initiatives and activities to prevent underage drinking and raise community awareness.

#### **Northwest Alabama Mental Health Center**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	Yes

Evaluation report is available  
 URL for evaluation report:  
 URL for more program information:

No  
 Not applicable  
<http://www.nwamhc.com/>

**Program Description:** Northwest Alabama Mental Health Center (NWAMHC) is certified by ADMH to provide prevention services. NWAMHC uses five of the six CSAP strategies, including education, alternatives, community-based processes, environmental, and problem identification and referral to address underage drinking prevention. NWAMHC uses various community events and venues to provide information, including student-designed materials, such as health fairs, Sober Prom activities, and kids promotional week. Too Good For Drugs is the evidence-based curriculum used in county schools, along with after-school and summer programs. Youth participating in educational programs are provided opportunities to participate in alternative activities in classroom sessions, after school, and during the summer. Problem identification and referral utilizes the “Project Toward No Drugs” and “Alcohol Literacy” curricula. Community-based processes focus on community needs assessments and other collaborative efforts with community stakeholders. NWAMHC Prevention intends to collaborate with Colbert County Children’s Policy Council and other community partner agencies for community events, capacity building, and networking. Environmental strategies consist of working with the ALEA in Walker County to increase compliance checks. Past efforts in this area resulted in significant reduction in purchase of alcohol by minors as reported by the ABC Board.

**East Alabama Mental Health Center**

Number of youth served  
 Number of parents served  
 Number of caregivers served  
 Program has been evaluated  
 Evaluation report is available  
 URL for evaluation report:  
 URL for more program information:

No data  
 No data  
 No data  
 Yes  
 No  
 Not applicable  
<http://eamhc.org/>

**Program Description:** East Alabama Mental Health Center (EAMHC) is certified by ADMH to provide prevention services. Located in Opelika, Alabama, it provides an array of prevention services through education, alternatives, and environmental prevention strategies. EAMHC is active and present in the community and assists with multiple community service projects. EAMHC utilizes Too Good for Drugs as an educational curriculum with high school students. EAMHC’s environmental strategy is to work on school policy and implement the “Talk. They Hear You.” campaign. EAMHC Prevention services also worked with community stakeholders to develop and implement a campaign to recognize vendors that are compliant in refusing to serve underage customers (i.e., compliance window clings, signs, posters, etc.). The recognition campaign aimed to encourage other vendors to refuse to sell to minors.

**Altapointe Health Systems**

Number of youth served  
 Number of parents served  
 Number of caregivers served  
 Program has been evaluated  
 Evaluation report is available  
 URL for evaluation report:  
 URL for more program information:

No data  
 No data  
 No data  
 Yes  
 No  
 Not applicable  
<https://altapointe.org/>

**Program Description:** Altapointe Health Systems is certified by ADMH and serves Baldwin County. Altapointe implements the information dissemination strategy to address underage drinking. The information dissemination strategy includes the distribution of the 2020 ID Checking guides to vendors and law enforcement and a media campaign that will be geofenced in the beach communities and run during the peak beach times of summer and spring break.

**Mental Health Center of North Central Alabama/Quest Recovery Center**

Number of youth served  
 Number of parents served

No data  
 No data

Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.mhcnca.org/">https://www.mhcnca.org/</a>

**Program Description:** Mental Health Center (MHC) of North Central Alabama/Quest Recovery Center is located in Decatur, Alabama, and is certified by ADMH to provide prevention services. MHC implements four of the six CSAP strategies to address underage drinking. Too Good for Drugs and Violence is the educational curriculum utilized within the school system and community boys and girls clubs. Community-based processes aim to meet with community leaders, community stakeholders, and agency representatives to plan and implement prevention strategies throughout the community. Alternative strategies include community service activities, crafts, games, and physical activities at the Limestone County Boys and Girls Club during the school year and summer breaks. Environmental strategies include addressing the compliance rate through compliance checks and the media campaign Parents Who Host, Lose the Most.

#### Additional Underage Drinking Prevention Programs Operated or Funded by the State

Council on Substance Abuse-National Council on Alcoholism and Drug Dependency (COSA-NCADD) is a private nonprofit organization founded in 1973 to promote the understanding that dependence on alcohol and other drugs is a preventable and treatable disease. COSA is the state affiliate of the NCADD and is a member of the Community Anti-Drug Coalition of America (CADCA). COSA is certified by ADMH, serving Montgomery (considered urban) and primary Black Belt areas (considered rural). COSA implements four of the six CSAP strategies to include community-based processes, alternatives, education, and environmental prevention. Activities include the implementation of the LifeSkills curriculum with elementary and high school students, creation of a student organization to provide peer prevention awareness that addresses young adult problems regarding drinking and underage/binge drinking, and drug-free recreational activities and school policy initiation. To support the school policy initiation, two underage drinking campaigns serve as the focus: "Let's Talk Prevention" and "5 Bees of Success." (<https://cosancadd.org/>)

SpectraCare Health Systems comprises a team of dynamic individuals who take pride in promoting physical and emotional health and wellness. Certified by ADMH, SpectraCare provides prevention services and implements four of the six CSAP strategies: environmental, information dissemination, education, and problem identification and referral. Prevention activities are designed to provide education and increase awareness among individuals and communities to promote choices that lead to healthy living. Comprehensive, evidence-based prevention activities are available to provide the tools necessary for healthy living. Services are provided in Barbour, Dale, Geneva, Henry, and Houston counties. Underage drinking prevention activities include the utilization of Ripple Effects and Active Parenting evidence-based curricula to support the problem identification and educational strategies and the implementation of the Parents Who Host, Lose the Most campaign. The campaign also serves as support to the environmental strategies that include school policy initiation and DUI checkpoints. (<http://www.spectracare.org/our-services/community-services/prevention-services>)

Parents Resource Institute Drug Education (PRIDE) of Tuscaloosa is certified by ADMH, serving Bibb, Pickens, Tuscaloosa, Sumter, Marengo, Hale, Greene, and Choctaw Counties. PRIDE implements all six CSAP strategies, to include environmental, community-based processes, information dissemination, alternatives, education, and problem identification and referral. PRIDE remains the only community-based nonprofit organization in the Tuscaloosa area that is dedicated to drug and alcohol prevention, education, and awareness. PRIDE implements strategies to address underage drinking and binge drinking through provision of services to students ages 18-25 in the community college system. Educational and alternative strategies are provided within Pickens County to address ages 12-18. PRIDE continues to attain goals within the community by forming partnerships with Shelton State Community College, the University of Alabama, the Tuscaloosa County District Attorney's Office, the West Alabama Narcotics Task Force, the Tuscaloosa Police Department, the County Sheriff's Office, the Northport Police Department, the Children's Policy Council, the Tuscaloosa Mental Health Alliance, the West Alabama Chamber of Commerce, and multiple community organizations. Problem identification and referral strategy is in coordination

with the juvenile justice programs in Greene and Tuscaloosa counties. Additional strategy implementation includes a media campaign, establishment of a subcommittee through the Children’s Policy Council, and school policy initiation. In addition, collaboration with local police departments to increase patrols around bars and areas known for high alcohol consumption in those ages 18-25 resulted in a 5 percent decrease in DUIs (<http://www.prideoftuscaloosa.org/>).

South Central Alabama Mental Health Center (SCAMHC) is certified by ADMH and provides prevention services in Butler, Coffee, Covington, and Crenshaw counties. SCAMHC utilizes four prevention strategies to address underage drinking. These strategies include education, alternatives, community-based processes, problem identification and referral, and environmental. The educational strategy provides Mental Health First Aid (MHFA) to community members and Too Good for Drugs to fifth-eighth grade students. Students participating in the educational strategy also participate in the alternative program, which is delivered in an after-school setting that is inclusive of substance-free activities. SCAMHC employs the environmental strategy through the collaboration with local law enforcement to provide DUI checkpoints and the promotion of the “Underage Under Arrest” campaign. The problem identification and referral strategy is in coordination with the juvenile justice programs in Coffee and Covington counties. In addition, SCAMHC aims to establish a subcommittee focusing on alcohol and other substances through the Children’s Policy Council. (<https://www.scamhc.org/>)

Cherokee, Etowah, and Dekalb Mental Health Center (CED MHC) is certified by ADMH and provides prevention services in Cherokee, Etowah, and Dekalb counties. CED MHC implements the problem identification and referral strategy in Dekalb county utilizing "Project Magic" to address underage drinking in coordination with Dekalb Youth Services and the juvenile court system. (<https://cedmentalhealth.org/substance-abuse-prevention/>)

**Additional Clarification**

No data

**Additional Information Related to Underage Drinking Prevention Programs**

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	Yes
Description of program: ADMH currently certifies 24 community organizations to provide substance use prevention services and contracts with 16* providers covering all 67 counties throughout Alabama. Fifteen of the contracted providers currently receive Block Grant funds; three are state-funded; two are subrecipients of State Prevention Framework Partnerships for Success (SPF PFS) discretionary grant funds implementing services in eight counties; four are funded through the Strategic Prevention Framework for Prescription Drugs (SPF Rx); ten are funded through the State Opioid Response (Opioid SOR); and four are funded through the Overdose Data to Action funding opportunities. *Note: Some agencies are multifunded grant initiative awardees.	
<i>State collaborates with/participates in media campaigns to prevent underage drinking</i>	Yes
Federal campaigns: “Talk. They Hear You.” (SAMHSA); Drive Sober or Get Pulled Over	Yes
Regional and local media campaigns: 5 Bees of Success; Let’s Talk Prevention; Under Age Under Arrest; It’s 21 For A Reason; Champions Are Made When No One Is Watching"	Yes
Local school district efforts:	No
Other: Parents Who Host, Lose the Most	Yes
<i>State collaborates with/participates in SAMHSA’s national media campaign, “Talk. They Hear You.”</i>	Yes
State officially endorses TTHY efforts	No
State commits state resources for TTHY	No
State forwards TTHY materials to local areas	Yes

Other:	No
<i>State procures funding for TTHY</i>	No data
Pro bono	No data
Donated air time	No data
Earned media	No data
Other:	No data
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies): SAMHSA/CSAP/SPF	Yes
Agency(ies) within your state: Alabama Department of Mental Health Substance Abuse Prevention Standards	Yes
Nongovernmental agency(ies):	No
Other: Evidence-Based Practices Resource Center	Yes
Best practice standards description: To ensure compliance, all certified agencies are provided training on the SPF Model and must utilize this model in the development of their prevention plans. All providers must adhere to State Prevention Standards, which include standards for personnel, performance improvement, documentation, and prevention records, and community planning and definitions. Strategy implementation must encompass use of evidence-based programs and practices.	
<b>Additional Clarification</b>	
No data	
<b>State Interagency Collaboration</b>	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Brandon Folks	
Email: brandon.folks@mh.alabama.gov	
Address: 100 North Union Street, RSA Suite 420, Montgomery, AL, 36130	
Phone: 334-242-3230	
<i>Agencies/organizations represented on the committee:</i>	
Substance Abuse and Mental Health Services Administration	
Department of Justice	
Agency for Substance Abuse Prevention	
Alabama Department of Corrections	
Alabama State Department of Education	
Auburn University at Montgomery	
Drug Education Council	
Alabama Department of Human Resources	
Alabama Department of Public Health	
Alabama State University	
University of Alabama Tuscaloosa	
COMPACT2020	
Healthy Sexual Solutions, LLC	
Family Guidance Center	
Addiction Prevention Coalition	
Council on Substance Abuse	
Cherokee, Etowah, Dekalb Mental Health Center	
SpectraCare Health Systems	
Medical Advocacy and Outreach	
Franklin Primary Health Center, Inc.	
AIDS Alabama	
Alabama Children's Policy Council	
Mental Health Center of North Central Alabama	

Alabama Administrative Office of Courts  
 Parent Resource Institute for Drug Education  
 Sylacauga Alliance for Family Enhancement

<i>A website or other public source exists to describe committee activities</i>	Yes
URL or other means of access: <a href="https://mh.alabama.gov/division-of-mental-health-substance-abuse-services/prevention/">https://mh.alabama.gov/division-of-mental-health-substance-abuse-services/prevention/</a>	

**Underage Drinking Reports**

<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	Yes
Prepared by: ADMH, Office of Prevention, State Prevention Advisory Board, Alabama Epidemiological Outcomes Workgroup	
Plan can be accessed via: <a href="https://mh.alabama.gov/division-of-mental-health-substance-abuse-services/prevention/">https://mh.alabama.gov/division-of-mental-health-substance-abuse-services/prevention/</a>	

<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Yes
Prepared by: ADMH, Mental Health and Substance Services Division, Office of Prevention	
Report can be accessed via: <a href="https://mh.alabama.gov/division-of-mental-health-substance-abuse-services/prevention/">https://mh.alabama.gov/division-of-mental-health-substance-abuse-services/prevention/</a>	

**Additional Clarification**

No data

**State Expenditures for the Prevention of Underage Drinking**

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>K–12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

<i>Other programs:</i>	
Programs or strategies included: Data not available	
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

**Funds Dedicated to Underage Drinking**

<i>State derives funds dedicated to underage drinking from the following revenue streams:</i>	
Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

*Description of funding streams and how they are used:*  
 Not applicable

**Additional Clarification**

Pertaining to expenditures for compliance checks: The cost of evidence for fiscal year 2020 was \$2,129.11 and our minor op payments were \$13,040.00 for the total of \$15,169.11. Complete data on the use of state funds for underage drinking prevention are not available. State funding data are only available for the Division of Mental Health & Substance Abuse Services. Of the state funds received by this agency, \$353,982 goes toward underage drinking prevention efforts through community programming and enforcement.







**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

ICCPUD