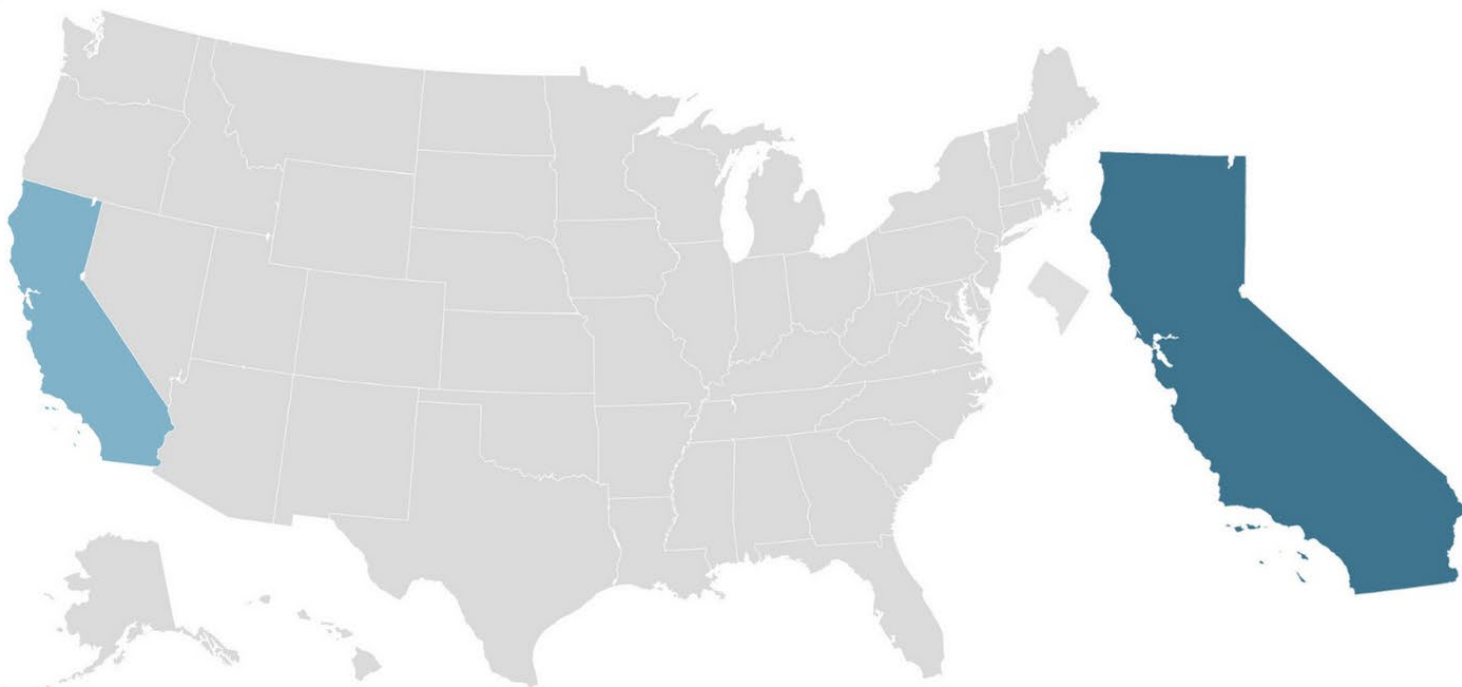


California



**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

# 2022 STATE REPORTS – UNDERAGE DRINKING PREVENTION AND ENFORCEMENT



**ICCPUD**

**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

ICCPUD

This *State Report* is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State’s performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on the Prevention of Underage Drinking (ICCPUD), chaired by Miriam Delphin-Rittmon, Ph.D., the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services (HHS).

**Time Period Covered by this *State Report*:** This *State Report* primarily includes data from calendar year 2020 and 2021. Regional and state profile data were drawn from the most recently available federal survey data as of 2020. State legal data reflect the status of the law as of January 1, 2021. State survey data, collected in 2021, were drawn from the most recent 12-month period in which the states maintained the data.

**Source of Data:** For each state, overall population information was taken from 2010 Census data. Data about the portion of each state’s population comprising 12-to 20-year-olds, as well as facts about past-month alcohol use and binge use, were averaged from the 2017 through 2020 National Surveys on Drug Use and Health (NSDUH), SAMHSA’s Center for Behavioral Health Statistics and Quality (CBHSQ), and the NSDUH special data analysis (2021). Confidence intervals for these estimates are available from CBHSQ’s Division of Surveillance and Data Collection on request. The Centers for Disease Control and Prevention’s Alcohol-Related Disease Impact (ARDI) application (updated May 2022) served as the resource for data about alcohol-attributable deaths from 2015–2019 among youth under age 21. ARDI was also the source for state-level data on years of potential life lost as a result of underage alcohol-related fatalities. The National Center for Statistics and Analysis’s Fatality Analysis Reporting System (FARS) provided the 2020 data used to present statistics about fatalities among 15- to 20-year-old drivers. State legal policy data were obtained from the following sources: 1) the National Institute on Alcohol Abuse and Alcoholism’s Alcohol Policy Information System (APIS) website (<https://alcoholpolicy.niaaa.nih.gov/>); 2) legal research planned and managed by the ICCPUD.

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# CALIFORNIA



**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)



## California

**State Population: 39,368,078**

**Population Ages 12–20: 4,583,000**

Past-Month Alcohol Use	
<b>Ages 12–20</b>	
Past-Month Alcohol Use – Number (Percentage)	783,000 (17.1%)
Past-Month Binge Alcohol Use – Number (Percentage)	454,000 (9.9%)
<b>Ages 12–14</b>	
Past-Month Alcohol Use – Number (Percentage)	34,000 (2.3%)
Past-Month Binge Alcohol Use – Number (Percentage)	20,000 (1.3%)
<b>Ages 15–17</b>	
Past-Month Alcohol Use – Number (Percentage)	221,000 (14.4%)
Past-Month Binge Alcohol Use – Number (Percentage)	108,000 (7.1%)
<b>Ages 18–20</b>	
Past-Month Alcohol Use – Number (Percentage)	528,000 (33.8%)
Past-Month Binge Alcohol Use – Number (Percentage)	327,000 (20.9%)
<b>Adults Ages 21+</b>	
Past-Month Alcohol Use – (Percentage)	16,098,000 (56.5%)
Past-Month Binge Alcohol Use – (Percentage)	7,167,000 (25.1%)
Average Age of Initiation	
Average Age of Initiation	16.3
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21 <sup>1</sup>	
Alcohol-Attributable Deaths (under 21)	384
Years of Potential Life Lost (under 21)	21,384
Fatal Crashes Involving a 15- to 20-Year-Old Driver With Blood Alcohol Concentration (BAC) > 0.01% <sup>2</sup>	
Number of Fatalities Involving 15- to 20-Year-Old Driver With BAC > 0.01%	129
Percentage of All Fatal Crashes Involving a 15- to 20-Year-Old Driver	29%

<sup>1</sup> The Alcohol-Related Disease Impact Application was updated May 2022 to reflect new methodology for calculating the average annual alcohol-attributable deaths, and it reflects national and state annual averages from 2015–2019.

<sup>2</sup> Alcohol-related fatalities are estimates derived from a sophisticated statistical procedure. The estimates are rounded to the nearest whole number; however, percentages as displayed are calculated from the unrounded estimates and may not equal those calculated from the rounded estimates. Totals may not equal the sum of components due to independent rounding.



## Substance Use Disorder Prevention, Early Identification, and Recovery Support Systems<sup>1</sup>

The California Department of Health Care Services (DHCS) is one of 16 departments in the California Health and Human Services (CHHS) Agency. CHHS is a cabinet-level agency that reports to the Governor. Also in 2019, DHCS mental health and SUD state-level staff reorganized to become an integrated behavioral health division. This organizational structure is designed to improve efficiencies department-wide, increase program administration accountability, improve service delivery, decrease processing times, and increase communication and engagement for stakeholders and employees.

DHCS is designated as the Single State Agency (SSA) responsible for administering and coordinating efforts for SUD prevention, treatment, and recovery services. DHCS is also the primary state agency responsible for interagency coordination of these services. DHCS has the responsibility for state leadership on SUD and community mental health. The DHCS Director and the Behavioral Health Deputy Director are appointed by the Governor and confirmed by the State Senate. SUD prevention, early intervention, treatment, harm reduction, and recovery services are provided to beneficiaries through a partnership between California and its 58 counties, which are the sub-state planning areas (see below). DHCS contracts with counties for SUD services to be provided by locally administered and locally controlled substance use programs.

Each California County is responsible for providing SUD treatment and primary prevention services through their behavioral health, public health, or AOD Office, or through contracts with local service providers. Counties are responsible to provide DMC State Plan services or DMC-ODS services, and SABG primary prevention and treatment services to their own clients. DHCS requires that SUD residential and NTP facilities be DHCS licensed. DHCS's Provider Enrollment Division must certify programs before they provide DMC State Plan or DMC-ODS SUD treatment services. DHCS does not license or certify SUD primary prevention providers; however, DHCS provides oversight and TA to counties to ensure that providers properly adhere to the same provisions and conditions as in their DMC State Plan or DMC-ODS Contract.

As of March 2021, California counties contracted with 1,053 SUD treatment facilities to provide a wide range of treatment services. There were 218 provider sites engaged in primary prevention services, and 30 provider sites engaged in secondary prevention services including early intervention, outreach, and referral screening and intake.

DHCS provides leadership and coordination in the planning, capacity building, development, implementation, and evaluation of a comprehensive statewide SUD prevention, treatment and recovery system. DHCS uses each of the 58-county alcohol and drug programs as subrecipients, and the counties in turn provide services to beneficiaries directly, or by contracting with local service providers. DHCS ensures compliance with federal requirements through periodic county monitoring visits.

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<sup>1</sup> Extracted from fiscal year (FY) 2022/2023 – (California) State Behavioral Health Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant (SABG), CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Planning Step One. Assess the strengths and needs of the service system to address the specific populations.

Following are the core DHCS SUD functions and essential services of Behavioral Health Services:

- Administers SAMHSA Formulary SABG and Discretionary Grants
- Conducts SNAP Report process
- Administers State Plan DMC and DMC-ODS waiver programs
- Licenses and Certifies SUD Treatment Programs and Facilities
- Licenses Narcotic Treatment Programs (NTP)
- Ensures Access to Services
- Assures Accountability through County and Program Monitoring
- Enforces the SUD Counselor Certification Regulations
- Collects, Analyzes, and Disseminates Information on County, Program, and Beneficiary Outcomes
- Conducts Population-Level Prevention Initiatives
- Coordinates with Other Systems, Agencies, and Departments to Address SUD-Related Issues and Problems
- Establishes Standards for Program Services and Competencies for Personnel
- Provides Technical Assistance (TA) and Training to Improve the Use of the Prevention Strategic Planning Framework (SPF)
- Administers the SOR II grant, titled the “MAT Expansion Project” to address the opioid crisis

### **Youth Services**

As part of the California 2021-2022 budget, the California Health and Human Services (CHHS) Agency is launching the Children and Youth Behavioral Health Initiative. This initiative intends to transform California’s children and youth behavioral health system into an excellent, innovative, up-stream focused, ecosystem where all children and youth receive routine screenings, support, and service for emerging and existing behavioral health needs. Services will be statewide, evidence based, culturally competent, and equity focused. As a department under the authority of the CHHS, DHCS will oversee several components of the proposal including

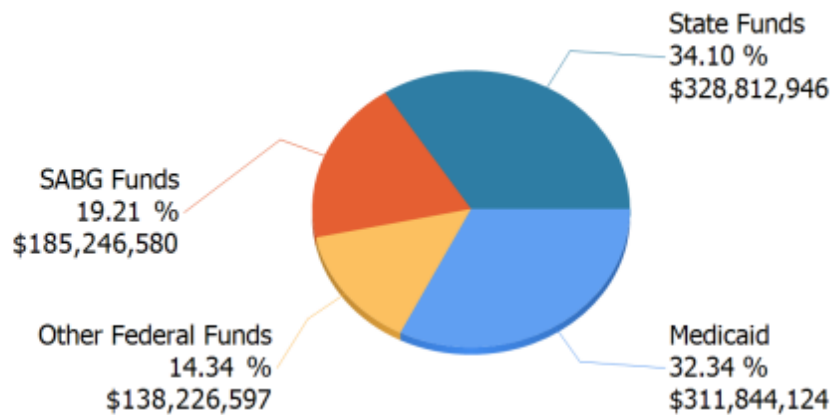
- Implement a behavioral health service virtual platform;
- Build capacity and infrastructure for new behavioral health services in schools through partnerships with health plans, county mental health plans, community-based organizations, and schools;
- Develop and scale-up behavioral health evidence based programs;
- Build new behavioral health infrastructure, including new programs and facilities to address gaps in the continuum of care; and
- Enhance Medi-Cal benefits, such as the addition of a new dyadic care benefit allowing integration of behavioral health services with well child visits.

## Expenditures for Substance Abuse Prevention and Treatment

All states receive federal funds for substance abuse prevention through Substance Abuse Prevention and Treatment Block Grant (SABG) funds administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that California used for expenditures on substance abuse prevention and treatment in 2021. As indicated, state funds and Medicaid funds account for the largest sources (34.1 percent and 32.34 percent, respectively).<sup>2</sup>

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2022-2023, California did not designate underage drinking prevention and treatment as a priority for use of SABG funds.<sup>3</sup>

**Exhibit 1: Sources of California’s 2021 Expenditures for Substance Abuse Prevention and Treatment**



<sup>2</sup> WebBGAS State Profile, 2021 SABG and Community Mental Health Block Grant (MHBG) Reports – California 2021.

<sup>3</sup> FY 2022/2023– (California) State Behavioral Assessment and Plan, SABG, CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators.

## State Performance: Laws, Enforcement, and Programs

As mandated by the STOP Act, this report details California's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking. The following sections address these measures:

***State Laws and Policies:*** These underage drinking prevention policies have been identified as best practices (or as promising practices suitable for ongoing evaluation) and fall into six categories:

- underage possession or purchase of alcohol
- underage drinking and driving
- alcohol availability
- sales and delivery to consumers at home
- alcohol pricing
- enforcement policies

***STOP Act State Survey Data:*** The STOP Act requires annual reporting of data from the 50 states and the District of Columbia on their performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking. Administered since 2011, the STOP Act State Survey collects data on the following topics:

- enforcement programs to promote compliance with underage drinking laws and regulations
- programs targeted to youth, parents, and caregivers to deter underage drinking
- state interagency collaborations to implement prevention programs, best-practice standards, collaborations with tribal governments, and participation in underage drinking media campaigns
- state expenditures on the prevention of underage drinking



## Underage Possession or Purchase of Alcohol

California-Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is possession allowed if parent or guardian is present or consents?</li> <li>Is possession allowed if spouse is present or consents?</li> </ul>	Yes Yes
Is there an exception based on location?	Yes, in any private location
Notes: California's "any private location" exception excludes possession in motor vehicles. California's statute regarding possession of alcohol by a person under the age of 21 years includes an exception for "responsible adult relative" but does not specify which relatives are included. For purposes of this report, the phrase "responsible adult relative" is interpreted as including a spouse.	

California-Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is consumption allowed if parent or guardian is present or consents?</li> <li>Is consumption allowed if spouse is present or consents?</li> </ul>	N/A N/A
Is there an exception based on location?	N/A

California-Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is internal possession allowed if parent or guardian is present or consents?</li> <li>Is internal possession allowed if spouse is present or consents?</li> </ul>	N/A N/A
Is there an exception based on location?	N/A

California-Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

California-Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Do state statutes or regulations mandate that state driver's licenses for persons under 21 be easily distinguishable from licenses for persons 21 and over?	Yes
May the retailer seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> <li>Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	Yes
<ul style="list-style-type: none"> <li>Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?</li> </ul>	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May the retailer detain a minor who used a false ID?	No

### Underage Drinking and Driving

California-Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.01
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

California-Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	365
Maximum number of days	365

California-Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15 years, 6 months
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)
Intermediate Stage	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	11:00 PM
Can law enforcement stop a driver for night driving violation as a primary offense?	No
Are there restrictions on passengers?	Yes, no passengers under 20, unless accompanied by a parent, guardian, instructor or licensed driver over 25
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No
License Stage	
What is the minimum age for full license privileges and lifting of restrictions?	17

## Alcohol Availability

California-Furnishing Alcohol to Minors	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships? <ul style="list-style-type: none"> <li>• Is furnishing allowed if the parent or guardian supplies the alcohol?</li> <li>• Is furnishing allowed if the spouse supplies the alcohol?</li> </ul>	No No
Is there an exception based on location?	No
Affirmative Defense for Sellers and Licensees	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

California-Responsible Beverage Service (RBS)—Voluntary	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	No
• Discounts in dram shop liability insurance, license fees, or other	No
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	Yes
• Protection against license revocation for sales to minors or sales to intoxicated persons	No
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Unspecified
Does the RBS law apply to new or existing licensees?	Unspecified

California-Responsible Beverage Service (RBS)—Mandatory	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Manager and server/seller
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A

• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	On-premises
Does the RBS law apply to new or existing licensees?	Both
Notes: Although the effective date of California's enacting legislation establishing a mandatory beverage service training program was January 1, 2018, the program does not become fully implemented and enforceable until July 1, 2022.	

California-Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)	
What is the minimum age requirement for off-premises retail establishments?	
Beer	None
Wine	None
Spirits	None
Does a manager or supervisor have to be present when an underage person is selling beverages?	Yes

California-Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	21
Wine	21
Spirits	21
Does a manager or supervisor have to be present when an underage person is selling beverages?	No

California-Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	Yes, within 1.5 miles of universities with enrollments of 1,000 or more students of which 500 or more reside on the university's grounds.

Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 1.5 miles of universities with enrollments of 1,000 or more students of which 500 or more reside on the university's grounds.
To which alcohol products does requirement apply?	Wine and spirits (does not include products of 4% ABV or less)
Notes: Exceptions to the college restriction exist for numerous individual colleges and universities.	
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

California-Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (minor must be obviously intoxicated at time of alcohol furnishing)
Does common law dram shop liability exist?	No

California-Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	Yes (knowledge of underage status)
Does common law social host liability exist?	No
Notes: A California court held that a social host who sold alcoholic beverages to a minor who was obviously intoxicated may be liable for injuries caused to third parties. In its ruling, the court held that a "sale" of alcohol was defined as "any transaction" for "any consideration."	

California-Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A

Does host's preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A
Notes: California's statute imposes liability in limited circumstances. The California law applies only if the underage person is under 18 years old, has a blood alcohol concentration of 0.05 percent or greater, was permitted to drive a vehicle, and was found to have caused a traffic collision while driving. See Cal. Bus. & Prof. Code § 25658.2.	

California-Keg Registration	
How is a keg defined (in gallons)?	Equal to or greater than 6.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	Yes (maximum fine/jail, \$1,000/6 months)
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	No
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Not required
Is a deposit required?	No
Does law cover disposable kegs?	No

California-High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes
Are restrictions based on Alcohol by Volume (ABV)?	Yes (more than 60 percent)
Are there exceptions to restrictions?	No

## Sales and Delivery to Consumers at Home

California-Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Uncertain
Spirits	Prohibited
Notes: An individual or retail licensee in a state that affords California retail licensees or individuals an equal reciprocal shipping privilege, may ship, for personal use and not for resale, no more than two	

cases of wine (no more than nine liters each case) per month to any adult resident in California. Delivery of a shipment pursuant to this subdivision shall not be deemed to constitute a sale in California.

California-Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	No
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	No
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	No
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

California-Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted

California- Direct to Consumer	
Is there a policy allowing on-premises retailers to deliver alcohol to a consumer at home?	No
Which on-premises retailers can provide delivery of alcoholic beverages?	
<ul style="list-style-type: none"> <li>• Restaurant</li> </ul>	N/A



<ul style="list-style-type: none"> <li>• Bar license</li> <li>• Third party license</li> </ul>	N/A N/A
<p>Which types of alcohol are permitted to be delivered?</p> <ul style="list-style-type: none"> <li>• Beer</li> <li>• Wine</li> <li>• Spirits</li> <li>• Mixed Drinks</li> </ul>	N/A N/A N/A N/A
<b>Requirements and Restrictions</b>	
<p>Are there restrictions in place addressing details of the delivery?</p> <ul style="list-style-type: none"> <li>• Hours limited</li> <li>• Amount of alcohol limited</li> <li>• Food requirement</li> </ul>	N/A N/A N/A
<p>Are there certain requirements that the delivery person must meet?</p> <ul style="list-style-type: none"> <li>• Must be 21</li> <li>• Must check ID at point of delivery</li> <li>• Must receive payment regardless of delivery completion</li> </ul>	N/A N/A N/A

## Alcohol Pricing

California-Alcohol Taxes	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
<b>Wine</b>	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.20
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
<b>Spirits</b>	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$3.30

Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

California-Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No (on-premises retailers may offer a free drink on a case-by-case basis)
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	No
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

California-Wholesaler Pricing Restrictions	
<b>Beer</b>	
Are volume discounts to retailers allowed?	No law

Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days maximum)
<b>Wine</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days maximum)
<b>Spirits</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	Yes (30 days maximum)

### Enforcement Policies

<b>California-Compliance Check Protocols</b>	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	Not specified
What is the maximum age a decoy may be to participate in a compliance check?	19
Are there appearance requirements for the decoy?	Yes: No hats, sunglasses, tattoos, visible body piercing, clothing with college or alcohol verbiage/logos; minimal jewelry; not large in stature; appropriate dress for age; hair that does not obscure facial features.

	Male: No facial hair, really short hair, balding or receding hairline. Female: Minimal makeup, no provocative clothing.
Does decoy carry ID during compliance check?	Discretionary
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

California-Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	Three years
What is the penalty for the first offense?	15-day license suspension
What is the penalty for the second offense?	25-day license suspension
What is the penalty for the third offense?	License revocation
What is the penalty for the fourth offense?	N/A
Notes: Retailer has option to accept fine in lieu of suspension. List of aggravating and mitigating factors provided.	

## California State Survey Responses

State Agency Information	
<i>Agency with primary responsibility for enforcing underage drinking laws:</i>	
California Department of Alcoholic Beverage Control (ABC)	
Enforcement Strategies	
<i>State law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>Local law enforcement agencies use:</i>	
Cops in Shops	Yes
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes
<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Yes
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	The California Department of Alcoholic Beverage Control (ABC)
Such laws are also enforced by local law enforcement agencies	No
Enforcement Statistics	
<i>State collects data on the number of minors found in possession</i>	No
Number of minors found in possession <sup>1</sup> by state law enforcement agencies	Not applicable
Number pertains to the 12 months ending	Not applicable
Data include arrests/citations issued by local law enforcement agencies	Not applicable
<i>State conducts underage compliance checks/decoy operations<sup>2</sup> to determine whether alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state <sup>3</sup>	Approximately 80,000 ABC licensed businesses have retail privileges
Number of licensees checked for compliance by state agencies (including random checks)	35,613 businesses were visited in fiscal year 2019-2020
Number of licensees that failed state compliance checks	710 locations were accused of selling/furnishing alcohol to minors in fiscal year 2019-2020
Numbers pertain to the 12 months ending	06/30/2020
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments
<i>State conducts <b>random</b> underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to <b>random</b> state compliance checks/decoy operations	Not available
Number of licensees that failed <b>random</b> state compliance checks	Not available
<i>Local agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	No
Number of licensees checked for compliance by local agencies	Not applicable

Number of licensees that failed local compliance checks	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<b>Sanctions</b>	
<i>State collects data on fines imposed on retail establishments that furnish to minors</i>	No
Number of fines imposed by the state <sup>4</sup>	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing to minors</i>	No
Number of suspensions imposed by the state <sup>5</sup>	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing to minors</i>	No
Number of license revocations imposed <sup>6</sup>	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<b>Additional Clarification</b>	
No data	
<sup>1</sup> Or having consumed or purchased per state statutes.	
<sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.	
<sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.	
<sup>4</sup> Does not include fines imposed by local agencies.	
<sup>5</sup> Does not include suspensions imposed by local agencies.	
<sup>6</sup> Does not include revocations imposed by local agencies.	
<b>Underage Drinking Prevention Programs Operated or Funded by the State</b>	
<b>Butte County—Parent Committed</b>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://butteyouthnow.org/parent-committed">https://butteyouthnow.org/parent-committed</a>
<b>Program Description:</b> Parent Committed, a program funded by the Substance Abuse Prevention and Treatment Block Grant, addresses the stigmas associated with teen drinking as a rite of passage, educating parents on the threat that underage drinking poses to healthy child development. Parents play a vital role in replacing pro-alcohol messages with clear messages urging young people not to drink.	
<b>Fresno County—Youth Leadership Institute</b>	
Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://yli.org/programs/">https://yli.org/programs/</a> and

<https://yli.org/2020/03/i-wont-provide-a-youth-led-campaign-to-reduce-underage-drinking-in-fresno/>

**Program Description:** Youth Leadership Institute (YLI) brings young people together and equips them to lead positive social change within their communities. YLI works within coalitions and with elected officials to promote policy change around underage drinking, increasing equity in communities for young people, especially young people of color. YLI operates community-based programs in seven counties statewide, including Marin, San Francisco, San Mateo, Merced, Fresno, Long Beach, and Eastern Coachella.

**Marin County—Alcohol Justice**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://alcoholjustice.org/">https://alcoholjustice.org/</a>

**Program Description:** Alcohol Justice promotes evidence-based public health policies and organizes campaigns with diverse communities and youth against alcohol and harmful practices in the drug industry. Alcohol Justice receives its funding from a variety of resources, including foundation funding to promote changes in alcohol corporations and their products, promotions, and practices, alcohol policies, regulations, and enforcement. Alcohol Justice brings research, policy, media, and advocacy together; mobilizes coalitions that include youth, adults, and various community leaders; and organizes to enact, support, and advocate for alcohol policies that keep youth and communities safe and healthy. State-level funding is utilized to support coalitions and youth development.

**Orange County—Community Service Programs**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="http://www.ochealthier.together.org/promisepromise/index/view?pid=4095">http://www.ochealthier.together.org/promisepromise/index/view?pid=4095</a>

**Program Description:** Community Service Programs: Healthier Together is a community-based prevention project that aims to create safe and healthy communities by reducing problems associated with alcohol and impaired driving. Project staff provide education, training, and technical assistance in the cities of Anaheim, Huntington Beach, Santa Ana, and Orange. Using mass media campaigns, efforts of local law enforcement emphasize increasing community awareness of driving under the influence. Services also include providing Responsible Alcohol Sales and Service (RBSS) training for on-sale alcohol establishments.

**Plumas County—Healthy Choices Healthy Life**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable



URL for more program information:

<https://www.plumascounty.us/2085/Youth-Development-Substance-Use-Prevention>

**Program Description:** Healthy Choices Healthy Life is a program in Plumas County that encourages youth to be alcohol-free by promoting healthy choices and lifestyles, including guiding youth on responding to peer alcohol situations they might face. This program encourages parent involvement and provides parent/educator courses in addition to the state-mandated classes taught within the schools.

#### ***Sacramento County—Talk They Hear You***

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.sacramento.org/teenAlcoholPrevention.html">https://www.sacramento.org/teenAlcoholPrevention.html</a>

**Program Description:** Talk They Hear You is funded by the Substance Abuse Prevention and Treatment Block Grant through which the Sacramento County Alcohol and Drug Services Prevention Strategic Plan identifies its highest priority as prevention of alcohol use by youth. The Sacramento County Coalition for Youth aims to increase community participation in prevention measures to address the problem of Sacramento teens “drinking too early, too much, and too often.”

#### ***San Diego County—North Coastal Prevention Coalition***

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://northcoastalpreventioncoalition.org/programs/underage-drinking/">https://northcoastalpreventioncoalition.org/programs/underage-drinking/</a>

**Program Description:** North Coastal Prevention Coalition is one of six regional providers that work with the San Diego County Binge and Underage Drinking Initiative, community partners, and teens to change the community environment that promotes irresponsible alcohol use and abuse. Efforts focus on Social Host ordinances to reduce underage drinking at home parties by informing the public and increasing enforcement; RBSS ordinances to require sellers and servers of alcohol to receive state-certified training to prevent alcohol sales to minors and sales to intoxicated patrons; and alcohol outlet density and conditional use permit processes to prevent an over-concentration of alcohol retail businesses.

#### ***Santa Cruz County—Community Prevention Partners (CPP)***

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="http://www.communitypreventionpartners.com/alcohol">http://www.communitypreventionpartners.com/alcohol</a>

**Program Description:** Community Prevention Partners (CPP) is a coalition of individuals and organizations mobilized for policy and environmental change to improve community health and safety in Santa Cruz County. CPP works with school districts, parents, youth-serving organizations, healthcare workers, law enforcement agencies, alcohol merchants, and community members to reduce youth access and availability to alcohol.

**Solano County—Vibe Solano**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="http://www.vibesolano.com/alcohol/">http://www.vibesolano.com/alcohol/</a>

**Program Description:** Vibe Solano provides underage drinking prevention by focusing on personal and community wellness through promoting healthy behaviors and environments within Solano’s diverse neighborhoods, emphasizing health equity.

**Ventura County—Ventura County Limits**

Number of youth served	No data
Number of parents served	No data
Number of caregivers served	No data
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	<a href="https://www.venturacountylimits.org/">https://www.venturacountylimits.org/</a>

**Program Description:** Ventura County Limits is a multipronged countywide initiative for youth that provides for best practices in community mobilization, media advocacy, and policy change. Ventura County led California in their environmental prevention policies such as Social Host Ordinances and Deemed Approved Ordinances. Best practices from their policy papers are widely replicated throughout the state.

**Additional Underage Drinking Prevention Programs Operated or Funded by the State**

No data

**Additional Clarification**

No data

**Additional Information Related to Underage Drinking Prevention Programs**

*State collaborates with federally recognized tribal governments in the prevention of underage drinking* No

Description of collaboration: Not applicable

*State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing* Yes

Description of program: Not On My Watch—This campaign uses customizable toolkits to change underage drinking norms. With this campaign, Friday Night Live participants reach out to local media, young people, parents, alcohol merchants, and community members alike to recruit their support in the battle against underage drinking. Not on My Watch is run by individual community chapters—Not on My Watch is a Friday Night Live Partnership opportunity.  
[http://www.ledger.news/news/local\\_news/amador-county-friday-night-live-chapter-says-not-on-my-watch-to-underage-gambling-local/article\\_6c97a20c-8e1a-11e9-bedf-676b84dcd22d.html](http://www.ledger.news/news/local_news/amador-county-friday-night-live-chapter-says-not-on-my-watch-to-underage-gambling-local/article_6c97a20c-8e1a-11e9-bedf-676b84dcd22d.html)

*State collaborates with/participates in media campaigns to prevent underage drinking* No

Federal campaigns: Not applicable

Regional and local media campaigns: Not applicable

Local school district efforts:	Not applicable
Other:	Not applicable
<i>State collaborates with/participates in SAMHSA's national media campaign, "Talk. They Hear You."</i>	Not applicable
State officially endorses TTHY efforts	Not applicable
State commits state resources for TTHY	Not applicable
State forwards TTHY materials to local areas	Not applicable
Other: Not applicable	Not applicable
<i>State procures funding for TTHY</i>	Not applicable
Pro bono	Not applicable
Donated air time	Not applicable
Earned media	Not applicable
Other:	Not applicable
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	No
Agencies/organizations that established best practices standards:	
Federal agency(ies):	Not applicable
Agency(ies) within your state:	Not applicable
Nongovernmental agency(ies):	Not applicable
Other:	Not applicable
Best practice standards description: Not applicable	
<b>Additional Clarification</b>	
California operates utilizing a local control model. Each of California's 58 counties are required to submit strategic prevention plans utilizing the Substance Abuse and Mental Health Services Administration's Strategic Prevention Framework. These plans may include underage drinking as a priority, and counties would select the most appropriate intervention for the communities they serve.	
<b>State Interagency Collaboration</b>	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Mattie Gabston	
Email: Mattie.Gabston@dmv.ca.gov	
Address: 2570 24th St, MS H-126, Sacramento, CA 95818-2606	
Phone: 916-657-7954	
<i>Agencies/organizations represented on the committee:</i>	
Department of Health Care Services	
Department of Motor Vehicles	
California Highway Patrol	
California Office of Traffic Safety	
California District Attorney Association	
Center for Counseling	
Orange County Health Care Agency	
California Department of Public Health	
Public Health Institute, Alcohol Research Group	
<i>A website or other public source exists to describe committee activities</i>	No
URL or other means of access: Not applicable	
<b>Underage Drinking Reports</b>	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	Not sure

Prepared by: Not applicable  
 Report can be accessed via: Not applicable

**Additional Clarification**

No data

**State Expenditures for the Prevention of Underage Drinking**

*Compliance checks in retail outlets:*

Estimate of state funds expended	Not available
Estimate based on the 12 months ending	06/30/2021

*Checkpoints and saturation patrols:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	06/30/2021

*Community-based programs to prevent underage drinking:*

Estimate of state funds expended	No data
Estimate based on the 12 months ending	Data not available

*K-12 school-based programs to prevent underage drinking:*

Estimate of state funds expended	No data
Estimate based on the 12 months ending	Data not available

*Programs targeted to institutes of higher learning:*

Estimate of state funds expended	No data
Estimate based on the 12 months ending	Data not available

*Programs that target youth in the juvenile justice system:*

Estimate of state funds expended	No data
Estimate based on the 12 months ending	Data not available

*Programs that target youth in the child welfare system:*

Estimate of state funds expended	No data
Estimate based on the 12 months ending	Data not available

*Other programs:*

Programs or strategies included: Checkpoints and saturation patrols	
Estimate of state funds expended:	\$4,110,659.73
Estimate based on the 12 months ending:	12/31/2020

**Funds Dedicated to Underage Drinking**

*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No data
Fines	No data
Fees	No data
Other: No data	No data

*Description of funding streams and how they are used:*

No data

**Additional Clarification**

No Data



**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

ICCPUD