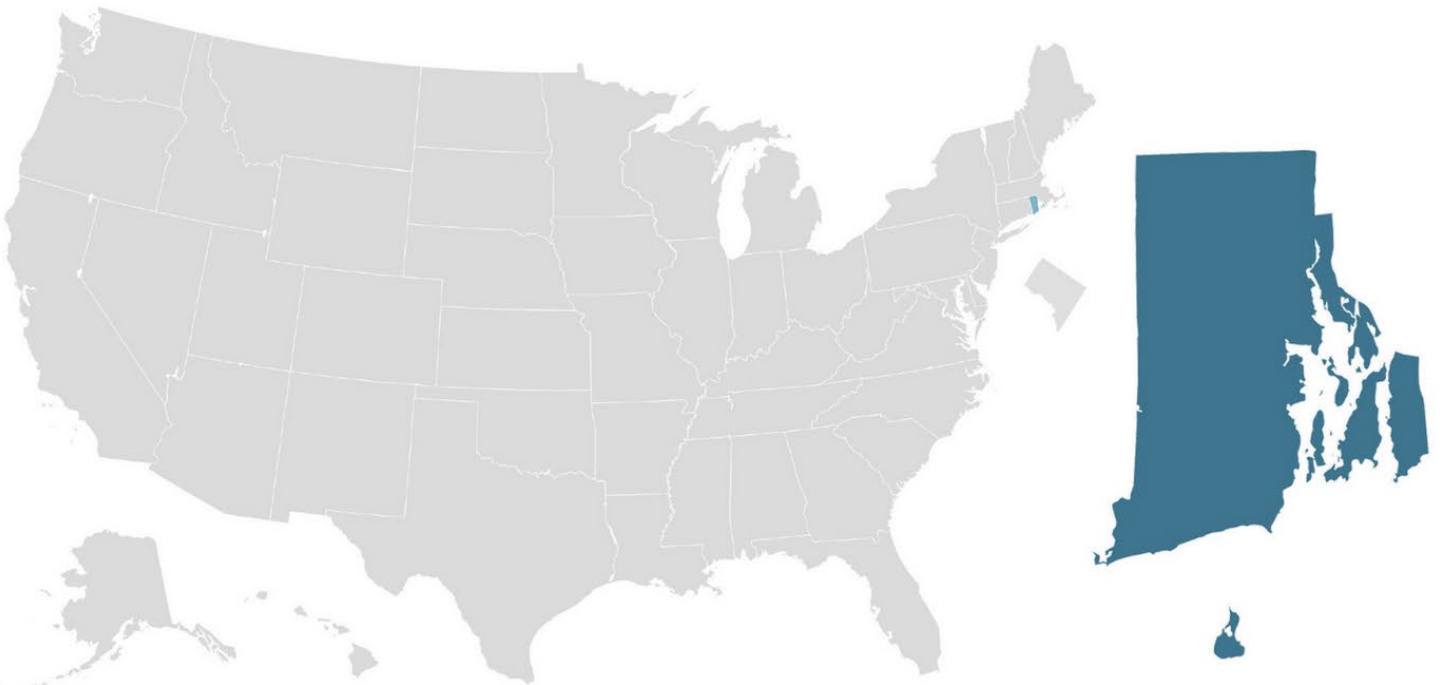




**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

**Rhode Island**

# 2022 STATE REPORTS – UNDERAGE DRINKING PREVENTION AND ENFORCEMENT



**ICCPUD**

**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

ICCPUD

This *State Report* is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), which was enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State’s performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the *State Reports* were prepared by the Interagency Coordinating Committee on the Prevention of Underage Drinking (ICCPUD), which is chaired by the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services (HHS).

**Time Period Covered by this *State Report*:** This *State Report* primarily includes data from calendar years 2020 and 2021. Regional and state profile data were drawn from the most recently available federal survey data as of 2020. State legal data reflect the status of the law as of January 1, 2021. State survey data, collected in 2021, were drawn from the most recent 12-month period in which the states maintained the data.

**Source of Data:** For each state, overall population information was taken from 2010 Census data. Data about the portion of each state’s population comprising 12-to 20-year-olds, as well as facts about past-month alcohol use and binge use, were averaged from the 2017 through 2020 National Surveys on Drug Use and Health (NSDUH), SAMHSA’s Center for Behavioral Health Statistics and Quality (CBHSQ), and the NSDUH special data analysis (2021). Confidence intervals for these estimates are available from CBHSQ’s Division of Surveillance and Data Collection on request. The Centers for Disease Control and Prevention’s Alcohol-Related Disease Impact (ARDI) application served as the resource for data about alcohol-attributable deaths among youth under age 21. ARDI was also the source for state-level data on years of potential life lost as a result of underage alcohol-related fatalities. The Alcohol-Related Disease Impact Application was updated May 2022 to reflect new methodology for calculating the average annual alcohol-attributable deaths, and it reflects national and state annual averages from 2015–2019. The National Center for Statistics and Analysis’s Fatality Analysis Reporting System (FARS) provided the 2020 data used to present statistics about fatalities among 15- to 20-year-old drivers.

**Recommended Citation:** U.S. Department of Health and Human Services (HHS), Substance Abuse and Mental Health Services Administration (SAMHSA; 2022). 2022 *Rhode Island State Report – Underage Drinking Prevention and Enforcement*. Rockville, MD: SAMHSA.

**Staff Chair and Point of Contact, ICCPUD:**

**Robert M. Vincent, MS.Ed**

Associate Administrator for Alcohol Prevention and Treatment Policy

ICCPUD Staff Chair

Substance Abuse and Mental Health Services Administration (SAMHSA)

Center for Substance Abuse Prevention (CSAP)

Office of the Director

Phone: (240) 276-1582

Email: Robert.Vincent@samhsa.hhs.gov

**Rhode Island Governor’s Designated Contact for STOP Act State Survey:**

**Elizabeth Farrar**

Associate Administrator of Prevention Services

Rhode Island Department of Behavioral Healthcare, Developmental Disabilities, and Hospitals

Phone: (401) 462-0644

Email: Elizabeth.Farrar@bhddh.ri.gov



# RHODE ISLAND



THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)



## Rhode Island

State Population: 1,057,125

Population Ages 12–20: 118,000

Past-Month Alcohol Use	
<b>Ages 12–20</b>	
Past-Month Alcohol Use – Number (Percentage)	34,000 (28.4%)
Past-Month Binge Alcohol Use – Number (Percentage)	20,000 (16.7%)
<b>Ages 12–14</b>	
Past-Month Alcohol Use – Number (Percentage)	0 (0%)
Past-Month Binge Alcohol Use – Number (Percentage)	0 (1.1%)
<b>Ages 15–17</b>	
Past-Month Alcohol Use – Number (Percentage)	6,000 (17.9%)
Past-Month Binge Alcohol Use – Number (Percentage)	2,000 (7.3%)
<b>Ages 18–20</b>	
Past-Month Alcohol Use – Number (Percentage)	* (*%)
Past-Month Binge Alcohol Use – Number (Percentage)	* (*%)
<b>Adults Ages 21+</b>	
Past-Month Alcohol Use – (Percentage)	507,000 (64%)
Past-Month Binge Alcohol Use – (Percentage)	222,000 (28.1%)
Average Age of Initiation	
Average Age of Initiation	16
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21 <sup>1</sup>	
Alcohol-Attributable Deaths (under 21)	7
Years of Potential Life Lost (under 21)	408
Fatal Crashes Involving a 15- to 20-Year-Old Driver With Blood Alcohol Concentration (BAC) > 0.01% <sup>2</sup>	
Number of Fatalities Involving 15- to 20-Year-Old Driver With BAC > 0.01%	4
Percentage of All Fatal Crashes Involving a 15- to 20-Year-Old Driver	45%

\*Low precision; no estimate reported

<sup>1</sup> The Alcohol-Related Disease Impact Application was updated May 2022 to reflect new methodology for calculating the average annual alcohol-attributable deaths, and it reflects national and state annual averages from 2015–2019.

<sup>2</sup> Alcohol-related fatalities are estimates derived from a sophisticated statistical procedure. The estimates are rounded to the nearest whole number; however, percentages as displayed are calculated from the unrounded estimates and may not equal those calculated from the rounded estimates. Totals may not equal the sum of components due to independent rounding.



## Behavioral Healthcare Overview<sup>1</sup>

The Rhode Island Executive Office of Health and Human Services (EOHHS) was established in 2007 to strengthen the publicly funded health care system; increase efficiency, transparency and accountability of EOHHS and its departments; promote data-driven and evidence-based strategic decision making, analytical orientation, and EOHHS-wide training in data analysis; improve the customer experience; and integrate budget and finance. Under state law, EOHHS serves as “the principal agency of the executive branch of state government” (R.I.G.L. §42-7.2-2) responsible for managing the departments of: Health (RIDOH); Human Services (DHS); Children, Youth and Families (DCYF); and Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH). BHDDH provides direct services to nearly 45,000 Rhode Islanders as well as an array of regulatory, protective and health promotion services to our communities. Health and human services benefits represent \$4.1 billion spending per year, approximately 40 percent of the entire state budget. In 2014, the State consolidated behavioral health Medicaid funding under the Executive Office of Health and Human Services (EOHHS), therefore, the state has requested that BHDDH and EOHHS be co-designated as the State Single Agency between the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) and the Executive Office of Health and Human Services (OHHS), per the provisions established in 42 U.S.C § 300x30(a), solely for the purposes of calculating the Substance Abuse Prevention and Treatment Block Grant (SABG) maintenance of effort (MOE). Specifically, the designees, BHDDH and OHHS, are to be jointly designated as administering agencies for federal aid purposes; BHDDH remains the substance abuse authority (SSA) with sole responsibility for the activities outlined in the pertinent federal substance abuse laws and regulations, including 42 U.S.C § 300x-21 et seq. The General Assembly created this language for the 2017 legislative session which became State of Rhode Island law § 40.1-1-13. Powers and duties of the office pertaining to Behavioral Healthcare, Developmental Disabilities, and Hospitals.

The Rhode Island Department of Behavioral Healthcare, Developmental Disabilities and Hospital’s (BHDDH) mission is to serve Rhode Islanders who live with mental illness, substance use disorder and/or a developmental disability by maintaining a system of high quality, safe, affordable and coordinated care across a full continuum of services. Through prevention, early intervention, treatment and recovery support, BHDDH promotes the health, safety and well-being of all Rhode Islanders by developing policies and programs that address developmental disabilities, mental illness, addiction, recovery and community support. Our vision is to be a leader in the development of innovative, evidence-based programs that improve care delivery, strengthen the service delivery network and best serve those who use the system. In collaboration with our community partners, BHDDH is a champion of the people we serve, addressing their needs in a timely, efficient and effective manner.

### SUD Services for Youth and Young Adults

BHDDH is the Single State Authority for program and policy development and implementation for adolescents. The adolescent treatment system has been in flux due to the changes in the landscape of RI’s Medicaid system, the carve-in of behavioral health into managed care, the

<sup>1</sup> Extracted from fiscal year (FY) 2022/2023 – (Rhode Island) State Behavioral Health Assessment and Plan, SABG, CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Planning Step One. Assess the strengths and needs of the service system to address the specific populations.

affiliation of smaller treatment agencies with larger behavioral healthcare organizations and marijuana possession legislation. The Department runs a State Youth Treatment Implementation grant to help youth and young adults ages 12-25 who have substance use disorders or co-occurring substance use and serious emotional disorders; identify needs and gaps in the system and develop plans to address the needs. The plans include services, funding and workforce development. BHDDH is in the middle of implementing Seven Challenges in 4 operating sites, including private practice clinicians. The current system includes:

- Outpatient programs that are operated by hospitals and licensed behavioral healthcare organizations
- Intensive Outpatient programs operated by a hospital-based organization and a licensed behavioral healthcare organization
- Short term residential programs that are hospital based
- Private Clinicians

The programs described above include psychiatric services, medication assisted treatment and other support services. The goal of the State Youth Treatment Implementation grant is to develop youth centric programs that addresses the continuum of service needs in an age appropriate manner that focus on recovery supports such as employment, education and housing, as well as treatment.

## Prevention

Primary prevention includes interventions, occurring prior to the initial onset of a substance use disorder, through the reduction or control of factors causing substance abuse, including the reduction of risk factors contributing to substance use. Services are delivered through six, defined, federal strategies listed below:

- Information dissemination-provides knowledge and awareness: e.g. health fairs, media campaigns, brochures, resource directories, Public Service Announcements;
- Education- two-way communication between educator/facilitator and participant: e.g. classroom, small group sessions, parenting/family classes, education programs for youth;
- Alternatives- provides constructive and healthy activities that exclude alcohol, tobacco, and other drug use: e.g. drug-free social and recreational activities, community drop-in centers, mentoring programs, community service activities;
- Environmental- establishes/changes community standards, codes, and attitudes: e.g. school drug policies, product pricing, social norms, technical assistance to maximize local enforcement;
- Community-based process- aims to enhance the community to more effectively provide substance abuse prevention services: e.g. systemic planning, community team-building, multi-agency coordination/collaboration, community and volunteer training, assessing service and funding.

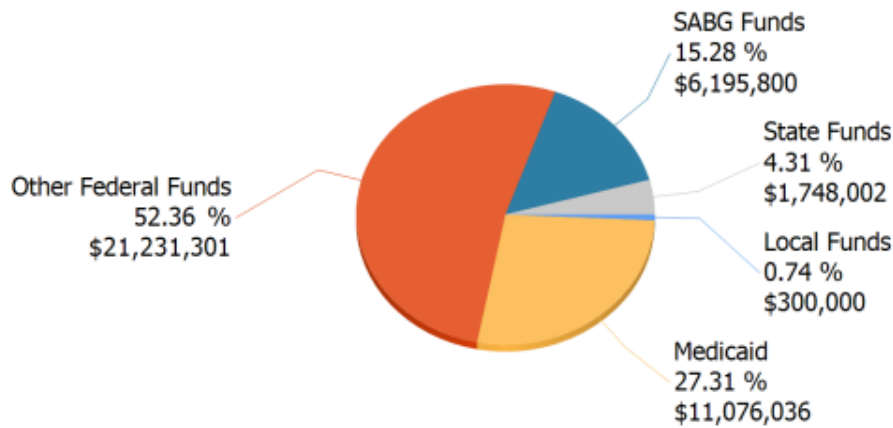
The department's prevention system consists of four major components: regional task forces (coalitions), student assistance programs established by legislation; community-based programs, largely curricular in nature; and the Synar compliance program.

## Expenditures for Substance Abuse Prevention and Treatment

All states receive federal funds for substance abuse prevention through the Substance Abuse Prevention and Treatment Block Grant (SABG) administered by the Substance Abuse and Mental Health Services Administration (SAMHSA). Exhibit 1 shows the sources that Rhode Island used for expenditures on substance abuse prevention and treatment in 2021. As indicated, other federal funds and Medicaid funds account for the largest sources (52.36 percent and 27.31 percent, respectively).<sup>2</sup>

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2020–2021, Rhode Island designated reducing use, misuse, and abuse of alcohol and other drugs among youth ages 12 to 17 as priority number one for use of SABG funds.<sup>3</sup>

**Exhibit 1: Sources of Rhode Island’s 2021 Expenditures for Substance Abuse Prevention and Treatment**



<sup>2</sup> WebBGAS State Profile, 2021 SABG and Community Mental Health Block Grant (MHBG) Reports – Rhode Island 2021.

<sup>3</sup> FY 2022/2023 – (Rhode Island) State Behavioral Assessment and Plan, SABG, CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators.

## State Performance: Laws, Enforcement, and Programs

As mandated by the STOP Act, this report details Rhode Island's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking. The following sections address these measures:

***State Laws and Policies:*** These underage drinking prevention policies have been identified as best practices (or as promising practices suitable for ongoing evaluation) and fall into six categories:

- underage possession or purchase of alcohol
- underage drinking and driving
- alcohol availability
- sales and delivery to consumers at home
- alcohol pricing
- enforcement policies

***STOP Act State Survey Data:*** The STOP Act requires annual reporting of data from the 50 states and the District of Columbia on their performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking. Administered since 2011, the STOP Act State Survey collects data on the following topics:

- enforcement programs to promote compliance with underage drinking laws and regulations
- programs targeted to youth, parents, and caregivers to deter underage drinking
- state interagency collaborations to implement prevention programs, best-practice standards, collaborations with tribal governments, and participation in underage drinking media campaigns
- state expenditures on the prevention of underage drinking



## Underage Possession or Purchase of Alcohol<sup>1</sup>

Rhode Island-Underage Possession*	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	No
<ul style="list-style-type: none"> <li>Is possession allowed if parent or guardian is present or consents?</li> <li>Is possession allowed if spouse is present or consents?</li> </ul>	No
Is there an exception based on location?	No

Rhode Island-Underage Consumption*	
Is underage consumption of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
<ul style="list-style-type: none"> <li>Is consumption allowed if parent or guardian is present or consents?</li> <li>Is consumption allowed if spouse is present or consents?</li> </ul>	N/A
Is there an exception based on location?	N/A

Rhode Island-Underage Internal Possession*	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	N/A
<ul style="list-style-type: none"> <li>Is internal possession allowed if parent or guardian is present or consents?</li> <li>Is internal possession allowed if spouse is present or consents?</li> </ul>	N/A
Is there an exception based on location?	N/A

Rhode Island-Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	Yes
May youth purchase for law enforcement purposes?	Yes

<sup>1</sup>Each *State Report* was reviewed for accuracy by the state's SSA (Single State Agency) or other state representatives. During review, some states noted differences in how a law was written compared to how the law is coded by the source(s) used in this document, including the Alcohol Policy Information System (APIS). These variances may be the result of differing interpretations of the legal variables involved. In other instances, states requested that additional information, such as legal citations, be included in the *State Report*. Maintaining consistency in coding and reporting across all the *State Reports* will make the information more usable for researchers and other stakeholders. To preserve this consistency, not all requested changes were incorporated. When changes were not incorporated, the policy name is followed by an asterisk. For a more detailed description of any of these issues, please contact [underagedrinking@samhsa.hhs.gov](mailto:underagedrinking@samhsa.hhs.gov).

Rhode Island-Underage False Identification for Obtaining Alcohol	
<b>Provisions Targeting Minors</b>	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	Yes, through a judicial process
<b>Provisions Targeting Suppliers</b>	
Is the lending or transferring or selling of a false ID prohibited?	Yes
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	Yes
<b>Retailer Support Provisions</b>	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Are state driver's licenses for persons under 21 easily distinguishable from licenses for persons 21 and over?	Yes
May the retailer seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	Yes
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> <li>Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	Yes
<ul style="list-style-type: none"> <li>Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?</li> </ul>	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May the retailer detain a minor who used a false ID?	No

### Underage Drinking and Driving

Rhode Island-Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Rhode Island-Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)— Possession*	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	No
• Possession of alcohol	Yes
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	60
Maximum number of days	60

Rhode Island-Loss of Driving Privileges for Alcohol Violations by Minors (“Use/Lose” Laws)— Purchase*	
Is there a “use/lose” law that suspends or revokes a minor’s driving privileges for alcohol violations?	Yes
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	Yes
• Possession of alcohol	No
• Consumption of alcohol	No
The law applies to people under what age?	21
Is suspension or revocation mandatory or discretionary?	Mandatory
What is the length of suspension/revocation?	
Minimum number of days	30
Maximum number of days	30

Rhode Island-Graduated Driver’s Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	16
What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	6
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	50 (10 of which must be at night)

<b>Intermediate Stage</b>	
What is the minimum age for driving without adult supervision?	16 years, 6 months
For night driving, when does adult supervision requirement begin?	1:00 AM
Can law enforcement stop a driver for night driving violation as a primary offense?	Yes
Are there restrictions on passengers?	Yes, no more than one passenger under 21, unless an immediate family or household member.
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	Yes
<b>License Stage</b>	
What is the minimum age for full license privileges and lifting of restrictions?	17 years, 6 months

### Alcohol Availability

<b>Rhode Island-Furnishing Alcohol to Minors</b>	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is furnishing allowed if the parent or guardian supplies the alcohol?</li> </ul>	Yes
<ul style="list-style-type: none"> <li>Is furnishing allowed if the spouse supplies the alcohol?</li> </ul>	No
Is there an exception based on location?	No
<b>Affirmative Defense for Sellers and Licensees</b>	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

<b>Rhode Island-Responsible Beverage Service (RBS)—Voluntary*</b>	
Is there a state law pertaining to Beverage Service Training?	Yes, voluntary
If training is mandatory, who must participate?	N/A
If training is voluntary, which of the following incentives are offered?	
<ul style="list-style-type: none"> <li>Defense in dram shop liability lawsuits</li> </ul>	Yes
<ul style="list-style-type: none"> <li>Discounts in dram shop liability insurance, license fees, or other</li> </ul>	No
<ul style="list-style-type: none"> <li>Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons</li> </ul>	No
<ul style="list-style-type: none"> <li>Protection against license revocation for sales to minors or sales to intoxicated persons</li> </ul>	No

Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

#### Rhode Island-Responsible Beverage Service (RBS)—Mandatory\*

Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Manager and server/seller
If training is voluntary, which of the following incentives are offered?	
• Defense in dram shop liability lawsuits	N/A
• Discounts in dram shop liability insurance, license fees, or other	N/A
• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons	N/A
• Protection against license revocation for sales to minors or sales to intoxicated persons	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

#### Rhode Island-Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)\*

What is the minimum age requirement for off-premises retail establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present when an underage person is selling beverages?	No

#### Rhode Island-Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)\*

What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18

What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present when an underage person is selling beverages?	No

Rhode Island-Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	Yes, within 200 feet.
To which alcohol products does requirement apply?	Beer, wine, and spirits
Notes: There are numerous exceptions for specific locations within cities and towns.	

Rhode Island-Dram Shop Liability*	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	No
Notes: R.I. Gen. Laws §3-14-12 includes a responsible beverage service defense. R.I. Gen. Laws Sec. 3-14-9 states that common law claims for negligence are not limited by the statute creating dram shop liability.	

Rhode Island-Social Host Liability*	
Does a statute create social host liability?	No
Does the statute limit damages that may be recovered?	N/A
Does the statute limit who may be sued?	N/A
Does the statute limit elements or standards of proof?	N/A
Does common law social host liability exist?	Unclear

Rhode Island-Prohibitions Against Hosting Underage Drinking Parties*	
Does a statute prohibit hosting underage drinking parties?	Yes
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	General
What action by underage guest triggers a violation?	Consumption
Property type covered by the law?	Residential/outdoor
What level of knowledge by the host is required?	Knowledge (Host must have actual knowledge of party)
Does host's preventive action protect him/her from being held liable?	No
Are there any exceptions for underage guests?	Yes, family members

Rhode Island-Keg Registration	
How is a keg defined (in gallons)?	Undefined
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine \$500)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Passive (requires no action by purchaser)
Is a deposit required?	No
Does law cover disposable kegs?	No
Notes: No particular volume or range of volumes is specified for kegs in Rhode Island.	

Rhode Island-High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	No
Are restrictions based on Alcohol by Volume (ABV)?	N/A
Are there exceptions to restrictions?	N/A

## Sales and Delivery to Consumers at Home

Rhode Island-Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited

Rhode Island-Direct Shipments/Sales*	
May alcohol producers ship directly to consumers?	No
What alcohol types may be shipped?	N/A
Must purchaser make mandatory trip to producer before delivery is authorized?	N/A
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	N/A
Must the common carrier (deliverer) verify age of recipients?	N/A
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	N/A
Must the common carrier (deliverer) be approved by a state agency?	N/A
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	N/A
Must the common carrier (deliverer) record/report recipient's name?	N/A
Shipping label requirements	
Must the label state "Package contains alcohol"?	N/A
Must the label state "Recipient must be 21 years old"?	N/A

Rhode Island-Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Permitted
Wine	Permitted
Spirits	Permitted



Rhode Island- Direct to Consumer	
Is there a policy allowing on-premises retailers to deliver alcohol to a consumer at home?	No
Which on-premises retailers can provide delivery of alcoholic beverages? <ul style="list-style-type: none"> <li>• Restaurant</li> <li>• Bar license</li> <li>• Third party license</li> </ul>	N/A N/A N/A
Which types of alcohol are permitted to be delivered? <ul style="list-style-type: none"> <li>• Beer</li> <li>• Wine</li> <li>• Spirits</li> <li>• Mixed Drinks</li> </ul>	N/A N/A N/A N/A
Requirements and Restrictions	
Are there restrictions in place addressing details of the delivery? <ul style="list-style-type: none"> <li>• Hours limited</li> <li>• Amount of alcohol limited</li> <li>• Food requirement</li> </ul>	N/A N/A N/A
Are there certain requirements that the delivery person must meet? <ul style="list-style-type: none"> <li>• Must be 21</li> <li>• Must check ID at point of delivery</li> <li>• Must receive payment regardless of delivery completion</li> </ul>	N/A N/A N/A

### Alcohol Pricing

Rhode Island-Alcohol Taxes*	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.11
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No

• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
<b>Wine</b>	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$1.40
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant
• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
• General sales tax rate	Not relevant

<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
<b>Spirits</b>	
Control system for spirits?	No
Specific excise tax per gallon for 40% alcohol spirits	\$5.40
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 15 – 50% alcohol spirits if applicable	

<b>Rhode Island-Low-Price, High-Volume Drink Specials</b>	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	No
Multiple servings at one time	No
Multiple servings for same price as single serving	Yes

Reduced price for a specified day or time (i.e., happy hours)	Yes (Full-day price reductions not banned)
Unlimited beverages for fixed price	Yes
Increased volume without increase in price	Yes

Rhode Island-Wholesaler Pricing Restrictions	
<b>Beer</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
<b>Wine</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law
<b>Spirits</b>	
Are volume discounts to retailers allowed?	No law
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No law
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No law

## Enforcement Policies

Rhode Island-Compliance Check Protocols*	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	No
What is the minimum age a decoy may be to participate in a compliance check?	N/A
What is the maximum age a decoy may be to participate in a compliance check?	N/A
Are there appearance requirements for the decoy?	N/A
Does decoy carry ID during compliance check?	N/A
May decoy verbally exaggerate his or her actual age?	N/A
Is decoy training mandated, recommended, prohibited, or not specified?	N/A
Notes: Although no written protocol exists, Rhode Island's requirements for conducting compliance checks are described in R.I. Gen. Stat. Sec. 3-8-5.1.	

Rhode Island-Penalty Guidelines for Sales to Minors*	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	\$250 fine
What is the penalty for the second offense?	\$500 fine
What is the penalty for the third offense?	\$750 fine
What is the penalty for the fourth offense?	N/A
Notes: Although no written guidelines exist, Rhode Island's penalties for violations relating to underage persons are described in R.I. Gen. Stat. Sec. 3-8-5.1.	

## Rhode Island State Survey Responses

### State Agency Information

*Agency with primary responsibility for enforcing underage drinking laws:*

There is no single state agency in Rhode Island responsible for enforcing laws designed to prevent underage drinking. The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH) has primary responsibility for administering programs designed to prevent/reduce underage drinking. Enforcement actions are conducted by 38 municipal police departments, the Rhode Island State Police, and the Rhode Island Attorney General in accordance with Rhode Island General Laws.

### Enforcement Strategies

*State law enforcement agencies use:*

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

*Local law enforcement agencies use:*

Cops in Shops	No
Shoulder Tap Operations	Yes
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	No

<i>State has a program to investigate and enforce direct sales/shipment laws</i>	Don't know
Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors	Not applicable
Such laws are also enforced by local law enforcement agencies	Not applicable

### Enforcement Statistics

<i>State collects data on the number of minors found in possession</i>	Yes
Number of minors found in possession <sup>1</sup> by state law enforcement agencies	0
Number pertains to the 12 months ending	12/31/2020
Data include arrests/citations issued by local law enforcement agencies	Don't know

<i>State conducts underage compliance checks/decoy operations<sup>2</sup> to determine whether alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of retail licensees in state <sup>3</sup>	1,505
Number of licensees checked for compliance by state agencies (including random checks)	0
Number of licensees that failed state compliance checks	0
Numbers pertain to the 12 months ending	12/31/2020
Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments	Both on- and off-sale establishments

<i>State conducts random underage compliance checks/decoy operations</i>	Yes
Number of licensees subject to random state compliance checks/decoy operations	0
Number of licensees that failed random state compliance checks	0

<i>Local agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors</i>	Yes
Data are collected on these activities	Yes
Number of licensees checked for compliance by local agencies	535
Number of licensees that failed local compliance checks	20
Numbers pertain to the 12 months ending	12/31/2020

### Sanctions

<i>State collects data on fines imposed on retail establishments that furnish to minors</i>	No
Number of fines imposed by the state <sup>4</sup>	Not applicable
Total amount in fines across all licensees	Not applicable
Smallest fine imposed	Not applicable
Largest fine imposed	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing to minors</i>	Don't know
Number of suspensions imposed by the state <sup>5</sup>	Not applicable
Total days of suspensions across all licensees	Not applicable
Shortest period of suspension imposed (in days)	Not applicable
Longest period of suspension imposed (in days)	Not applicable
Numbers pertain to the 12 months ending	Not applicable
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing to minors</i>	Don't know
Number of license revocations imposed <sup>6</sup>	Not applicable
Numbers pertain to the 12 months ending	Not applicable

**Additional Clarification**

The COVID-19 pandemic/restrictions in 2020 are relevant to the data presented here.

- <sup>1</sup> Or having consumed or purchased per state statutes.
- <sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.
- <sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.
- <sup>4</sup> Does not include fines imposed by local agencies.
- <sup>5</sup> Does not include suspensions imposed by local agencies.
- <sup>6</sup> Does not include revocations imposed by local agencies.

**Underage Drinking Prevention Programs Operated or Funded by the State**

**Regional Prevention Task Force Coalitions**

Number of youth served	208,587
Number of parents served	3,904
Number of caregivers served	1,516
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	No data
URL for more program information:	<a href="https://www.ripreventon.org">https://www.ripreventon.org</a>

**Program Description:** Program Description: The Rhode Island Substance Abuse Prevention Act (RISAPA) is legislation that enables the funding of regional prevention task force coalitions. Seven regional coalitions represent all of the state's 39 cities and towns. Each Regional Prevention Task Force Coalition produces a needs assessment—both for the region and each municipality within the region—along with a strategic plan outlining goals and objectives. The strategic plan presents implemented strategies addressing the reduction of underage drinking, including local policy initiatives (environmental strategy) and public education (information dissemination). The number of individuals touched by these activities is collected within our enhanced prevention data collection system.

<b>The Student Assistance Program (SAP)</b>	
Number of youth served	55,822
Number of parents served	295,861
Number of caregivers served	8,339
Program has been evaluated	Yes
Evaluation report is available	Yes

URL for evaluation report:	No data
URL for more program information:	<a href="https://www.risas.org/">https://www.risas.org/</a>
<b>Program Description:</b> The Student Assistance Program (SAP) is a school-based prevention initiative that currently resides in 45 middle and high schools throughout Rhode Island. The evidence-based model that is used is Project SUCCESS. Although SAP initiatives work to prevent underage drinking, they are not funded exclusively for this purpose. In Rhode Island, we use federal dollars to support alcohol compliance surveys and activities; these are carried out by local law enforcement. Note that the number of parents reported above could be duplicative. Our prevention data collection system does not allow us to filter the parent outreach education for non-duplication.	

**STOP Grant Tiverton**

Number of youth served	1,080
Number of parents served	500
Number of caregivers served	150
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	No data

**Program Description:** Tiverton’s STOP grant seeks to decrease 30-day use of alcohol among Tiverton’s youth as measured by RI Student Survey (RISS) at the end of 9th and 11th grades. Through a combination of strategies including policy change, youth and parent education, parent engagement, social norms campaigns, and capacity building efforts, the coalition is certain that a reduction in youth use rates will be clearly in evidence over the four years of the grant cycle. The grant specifically targets three distinct groups of youth for interventions: students transitioning from 8th grade to 9th, students transitioning to 11th grade, and Tiverton’s LGBTQ youth. Each group presenting a set of risk factors that will be strategically addressed. Approximately 540 youths will be involved in the interventions outlined in this proposal each year, with an estimated 1,080 individual youths being directly impacted over the four years.

**Additional Underage Drinking Prevention Programs Operated or Funded by the State**

Partnerships For Success 2018 is a 5-year SAMHSA/CSAP funded grant that works to address the issue of preventing and reducing the rates of underage drinking of youth and young adults ages 12-20 in 20 communities in the state

The communities were selected based on a set of indicators that identified them as ‘high need’

The strategies implemented include educational strategies such as environmental strategies addressing social and retail access and educational strategies including delivery of evidence-based curriculum in school settings.

The anticipated total reach is 56,479 individual aged 12-20

The program is being evaluated

**Additional Clarification**

No data

**Additional Information Related to Underage Drinking Prevention Programs**

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	Yes
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Description of collaboration: Our involvement with the Narragansett Tribe includes: Positive Indian Parenting, Living in 2 Worlds, Parenting in 2 World, Safe Talk – Tribal version and the best part, Gathering of Native Americans (GONA). We had two facilitators come from the Hopi and Navajo tribes to facilitate a 4-day GONA. The Narragansett Tribe had agreed to host the event and invite other tribal people from around the state.

<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
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Description of program: Not applicable

<i>State collaborates with/participates in media campaigns to prevent underage drinking</i>	Yes
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Federal campaigns: “Talk. They Hear You.”	Yes
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Regional and local media campaigns: Above the Influence, Revised DARE, Keeping it REAL, It Starts with You, Life of an Athlete, John Morello DIRT	Yes
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Local school district efforts:	No
Other:	No
<i>State collaborates with/participates in SAMHSA's national media campaign, "Talk. They Hear You."</i>	Yes
State officially endorses TTHY efforts	Yes
State commits state resources for TTHY	Yes
State forwards TTHY materials to local areas	Yes
Other:	No
<i>State procures funding for TTHY</i>	Yes
Pro bono	No
Donated air time	Yes
Earned media	Yes
Other:	No
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies):	No
Agency(ies) within your state: BHDDH	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: BHDDH requires the use of evidence-based programs and practices by all funded prevention providers, as well as the use of the Strategic Planning Framework model. Rhode Island has also promulgated rules and regulations for the certification of prevention programs that are incorporated into contract management. A workforce development training and technical assistance plan is in place for providers to reach a minimum level of certification. In addition, BHDDH, in collaboration with the Rhode Island Prevention Resource Center, developed a Prevention Specialist Certification Guide to assist our current and recently hired workforce in obtaining the minimum required level of certification. Several other states and National Prevention Network Representatives have adopted items from the Certification Guide for their state. The link to this guide is: <a href="http://riprc.org/wp-content/uploads/2016/03/PreventionCertificationStudyGuide.pdf">http://riprc.org/wp-content/uploads/2016/03/PreventionCertificationStudyGuide.pdf</a> .	
<b>Additional Clarification</b>	
No data	
<b>State Interagency Collaboration</b>	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	Yes
<i>Committee contact information:</i>	
Name: Gabrielle Abbate	
Email: <a href="mailto:gabrielle.abbate@dot.ri.gov">gabrielle.abbate@dot.ri.gov</a>	
Address: Department of Transportation, 2 Capitol Hill, Providence, RI 02903 (401) 222-6940	
Phone: (401) 222-6940	
<i>Agencies/organizations represented on the committee:</i>	
Tobacco Free Rhode Island	
Rhode Island Department of Behavioral Healthcare, Developmental Disabilities and Hospitals	
Rhode Island Department of Health	
Rhode Island Department of Education	
Rhode Island Department of Children, Youth and Families	
Mothers Against Drunk Driving	
AAA	
Rhode Island State Police	
Rhode Student Assistance Programs	
Rhode Island Municipal Police	
Substance Use and Mental Health Leadership Council	
CODAC Behavioral Health	
Rhode Island Communities for Addiction Recovery Efforts	

Anchor ED  
 Rhode Island Motorcycle Association  
 Rhode Island Hospitality Association  
 LifeSpan Hospitals  
 Amica Insurance  
 Community College of Rhode Island  
 Rhode Island College  
 University of Rhode Island  
 Rhode Island Police Chiefs Association  
 Brown University

A website or other public source exists to describe committee activities URL or other means of access: Not applicable	No
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**Underage Drinking Reports**

State has prepared a plan for preventing underage drinking in the last 3 years Prepared by: Rhode Island Department of Behavioral Healthcare, Developmental Disabilities and Hospitals in collaboration with the Prevention Advisory Council, a subcommittee of the Rhode Island Council on Behavioral Health. Plan can be accessed via: <a href="https://www.riprc.org/wp-content/uploads/2021/10/9.27.2021-Final-BHDDH-Prevention-Strategic-Plan-2020-2024.pdf">https://www.riprc.org/wp-content/uploads/2021/10/9.27.2021-Final-BHDDH-Prevention-Strategic-Plan-2020-2024.pdf</a>	Yes
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State has prepared a report on preventing underage drinking in the last 3 years Prepared by: Rhode Island Department of Behavioral Healthcare, Developmental Disabilities and Hospitals in collaboration with the Prevention Advisory Council, a subcommittee of the Rhode Island Council on Behavioral Health. Report can be accessed via: <a href="https://www.riprc.org/wp-content/uploads/2021/10/9.27.2021-Final-BHDDH-Prevention-Strategic-Plan-2020-2024.pdf">https://www.riprc.org/wp-content/uploads/2021/10/9.27.2021-Final-BHDDH-Prevention-Strategic-Plan-2020-2024.pdf</a>	Yes
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**Additional Clarification**

No data

**State Expenditures for the Prevention of Underage Drinking**

<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>Programs targeted to institutes of higher learning:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>Programs that target youth in the juvenile justice system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>Programs that target youth in the child welfare system:</i>	
Estimate of state funds expended	\$0
Estimate based on the 12 months ending	12/31/2020
<i>Other programs:</i>	
Programs or strategies included:	No data
Estimate of state funds expended:	\$0

Estimate based on the 12 months ending:	12/31/2020
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### Funds Dedicated to Underage Drinking

*State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	No
Other: Not applicable	No

*Description of funding streams and how they are used:*

Not applicable

### Additional Clarification

Rhode Island no longer has state revenue dedicated to prevention, treatment or recovery. BHDDH exclusively uses Substance Abuse Prevention and Treatment (SAPT) Block Grant (SAPTBG) funding or other federal dollars for Student Assistance Programs (SAPs), which utilize the evidence-based program called Project SUCCESS, in all of the middle/junior and senior high schools. Project SUCCESS is a multi-component school-based intervention that includes education, problem identification/referral, information dissemination, and a parent program. Together the SAPs and the school work to prevent underage drinking, but are not funded expressly for this purpose. Rhode Island uses other federal dollars to support compliance activities carried out by local law enforcement. Also, no state funding is dedicated to the Rhode Island Regional Prevention Task Force (RPTF) coalitions which is comprised of seven regions. The RPTF oversees the planning and delivery of substance misuse prevention within the municipalities that comprise the region to prevent underage drinking as well as to prevent other substance misuse.





**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

ICCPUD