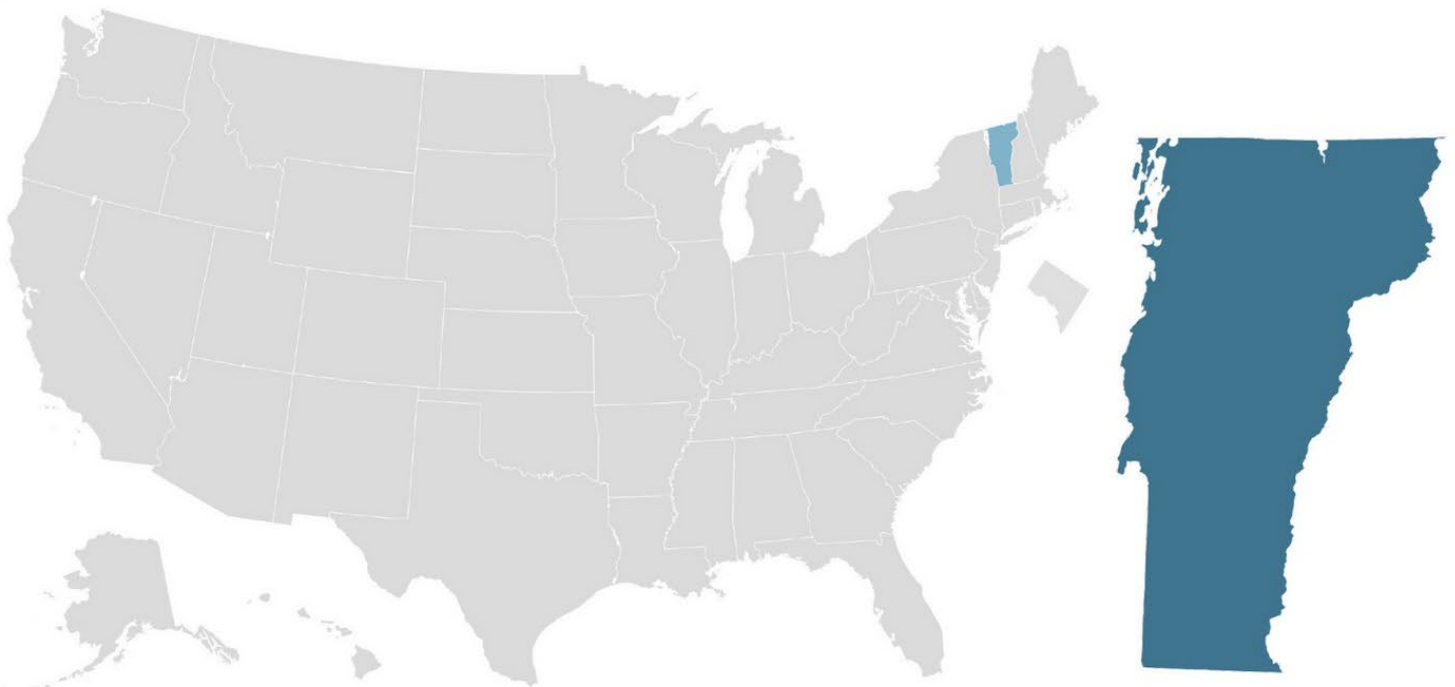




**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration

**Vermont**

# 2022 STATE REPORTS – UNDERAGE DRINKING PREVENTION AND ENFORCEMENT



**ICCPUD**

**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

This *State Report* is required by the Sober Truth on Preventing (STOP) Underage Drinking Act (Pub. L. 109-422), enacted by Congress in 2006 and reauthorized in December 2016 as part of the 21st Century Cures Act (Pub. L. 114-255). The STOP Act requires an annual report “on each State’s performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.” As directed by the STOP Act, the State Reports were prepared by the Interagency Coordinating Committee on the Prevention of Underage Drinking (ICCPUD), chaired by Miriam Delphin-Rittmon, Ph.D., the Assistant Secretary for Mental Health and Substance Use, U.S. Department of Health and Human Services (HHS).

**Time Period Covered by this *State Report*:** This *State Report* primarily includes data from calendar year 2020 and 2021. Regional and state profile data were drawn from the most recently available federal survey data as of 2020. State legal data reflect the status of the law as of January 1, 2021. State survey data, collected in 2021, were drawn from the most recent 12-month period in which the states maintained the data.

**Source of Data:** For each state, overall population information was taken from 2010 Census data. Data about the portion of each state’s population comprising 12-to 20-year-olds, as well as facts about past-month alcohol use and binge use, were averaged from the 2017 through 2020 National Surveys on Drug Use and Health (NSDUH), SAMHSA’s Center for Behavioral Health Statistics and Quality (CBHSQ), and the NSDUH special data analysis (2021). Confidence intervals for these estimates are available from CBHSQ’s Division of Surveillance and Data Collection on request. The Centers for Disease Control and Prevention’s Alcohol-Related Disease Impact (ARDI) application (updated May 2022) served as the resource for data about alcohol-attributable deaths from 2015–2019 among youth under age 21. ARDI was also the source for state-level data on years of potential life lost as a result of underage alcohol-related fatalities. The National Center for Statistics and Analysis’s Fatality Analysis Reporting System (FARS) provided the 2020 data used to present statistics about fatalities among 15- to 20-year-old drivers. State legal policy data were obtained from the following sources: 1) the National Institute on Alcohol Abuse and Alcoholism’s Alcohol Policy Information System (APIS) website (<https://alcoholpolicy.niaaa.nih.gov/>); 2) legal research planned and managed by the ICCPUD.

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# VERMONT



THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)



## Vermont

State Population: 623,347

Population Ages 12–20: 69,000

Past-Month Alcohol Use	
<b>Ages 12–20</b>	
Past-Month Alcohol Use – Number (Percentage)	22,000 (31.1%)
Past-Month Binge Alcohol Use – Number (Percentage)	15,000 (21.6%)
<b>Ages 12–14</b>	
Past-Month Alcohol Use – Number (Percentage)	1,000 (3.4%)
Past-Month Binge Alcohol Use – Number (Percentage)	0 (1.5%)
<b>Ages 15–17</b>	
Past-Month Alcohol Use – Number (Percentage)	5,000 (24.7%)
Past-Month Binge Alcohol Use – Number (Percentage)	3,000 (14.5%)
<b>Ages 18–20</b>	
Past-Month Alcohol Use – Number (Percentage)	15,000 (54.8%)
Past-Month Binge Alcohol Use – Number (Percentage)	12,000 (40.8%)
<b>Adults Ages 21+</b>	
Past-Month Alcohol Use – (Percentage)	299,000 (62.9%)
Past-Month Binge Alcohol Use – (Percentage)	119,000 (25.0%)
Average Age of Initiation	
Average Age of Initiation	16.4
Alcohol-Attributable Deaths and Years of Potential Life Lost Under the Age of 21 <sup>1</sup>	
Alcohol-Attributable Deaths (under 21)	5
Years of Potential Life Lost (under 21)	277
Fatal Crashes Involving a 15- to 20-Year-Old Driver With Blood Alcohol Concentration (BAC) > 0.01% <sup>2</sup>	
Number of Fatalities Involving 15- to 20-Year-Old Driver With BAC > 0.01%	1
Percentage of All Fatal Crashes Involving a 15- to 20-Year-Old Driver	14%

<sup>1</sup> The Alcohol-Related Disease Impact Application was updated May 2022 to reflect new methodology for calculating the average annual alcohol-attributable deaths, and it reflects national and state annual averages from 2015–2019.

<sup>2</sup> Alcohol-related fatalities are estimates derived from a sophisticated statistical procedure. The estimates are rounded to the nearest whole number; however, percentages as displayed are calculated from the unrounded estimates and may not equal those calculated from the rounded estimates. Totals may not equal the sum of components due to independent rounding.



## Substance Abuse System Overview<sup>1</sup>

The mission of the Agency of Human Services (AHS) is to improve the conditions and well-being of Vermonters and protect those who cannot protect themselves. The Agency of Human Services (AHS) includes the Departments of: Health (VDH), Mental Health (DMH), Disabilities, Aging and Independent Living (DAIL), Corrections (DOC), Vermont Health Access (DVHA), and Children and Families (DCF). Vermont's State Mental Health Authority (SMHA) resides in DMH and the Single State Authority (SSA) resides within VDH.

The Vermont Department of Health (VDH) is organized under the leadership of a Governor-appointed Commissioner and two Deputy Commissioners, as well as a Senior Policy Advisor and Finance Director to oversee the various Divisions, Units, and Offices. One of two Deputy Commissioners oversees the Division of Alcohol and Drug Abuse Programs (ADAP) and rural health, and supervises the ADAP Division Director, who serves as the Single State Authority (SSA). Essential public health services are carried out across the state by the Office of Local Health through 12 District Offices. All Vermont residents have a local health office they can access for a range of public health services such as information, disease prevention and emergency response services.

The local health offices work in partnership with health care providers, volunteer agencies, schools, businesses and coalitions and organizations in their communities to improve health and extend public health initiatives across the state.

The Division of Alcohol and Drug Abuse Programs (ADAP) is designated as the Single State Authority (SSA), with all its duties, responsibilities and authorities carried out and exercised by and within the Vermont Department of Health. By statute, ADAP is authorized to plan, operate and evaluate substance use/misuse programs, and establish a regional system of opioid addiction treatment. Reference [Title 18: Health; Chapter 094: § 4806. Vermont Department of Health, Division of Alcohol and Drug Abuse Programs] available here. ADAP, as SSA representative, oversees the following list of statewide system of care functions for prevention, intervention, treatment and recovery:

- Grants/Contracts Management
- Data Collection and Evaluation
- Public Information
- Compliance and Performance Management
- Planning
- Policy Development
- Program Development
- Technical Assistance
- Workforce Development

<sup>1</sup> Extracted from fiscal year (FY) 2022/2023—(Vermont) State Behavioral Health Assessment and Plan, Substance Abuse Prevention and Treatment Block Grant (SABG), CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Planning Step One. Assess the strengths and needs of the service system to address the specific populations.

## Prevention Program

Vermonters have access to one of 12 regional prevention consultants (PCs). These health professionals are alcohol and drug misuse prevention experts located in the 12 VDH district health offices (DO's). They support community efforts to lead and carry out prevention efforts. Prevention consultants help with:

- Community organizing
- Program planning and consultation
- Presentations and training
- Community grants information and guidance
- Information & referral

The Regional Prevention Partnership (RPP) is a five-year cooperative agreement with the US Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA). The goal of the RPP is to:

- Build, maintain, and strengthen the state, regional and community level infrastructure capacity needed to address substance use and misuse prevention and intervention across Vermont, and
- Prevent and reduce underage drinking, binge drinking, and marijuana use/ misuse among youth and young adults, including and lesbian, gay, bisexual, and transgender (LGBT) youth and young adults.

The Regional Prevention Partnership (RPP) is implemented at both the statewide and regional levels. An intense focus is planned in 5 of 12 health regions, supported by statewide coordination of training, technical assistance, prevention messaging, and prevention capacity-building strategies.

Prevention Centers of Excellence expand the Regional Prevention Partnership grants. The three Centers cover multiple regions. They provide training, regional needs assessment and plan development, policy education, youth, young adult and partner engagement and regional promotion of statewide communication campaigns. They also provide grants to underserved communities.

The Prevention Expansion Grants (PEG) support the expansion of community-based substance misuse prevention strategies targeting priority populations that have significantly higher rates of substance use and other risk factors associated with developing a substance use disorder.

A coalition comprised of colleges across Vermont works toward Community Building and Cultural Change around Substance Use and Prevention on Campus. This coalition hosts bimonthly summits that are solution-oriented and provide connection for health and mental health personnel responsible for addressing issues related to substance misuse on campus.

School-based Substance Abuse Services (SBSAS) grants support alcohol and drug use/misuse prevention and mental health promotion in selected supervisory unions throughout the state. The grants employ the Whole School, Whole Community, Whole Child Model of integration.

Through grants awarded to 15 supervisory unions (selected based on need, readiness and their plan) schools implement substance use/misuse prevention strategies.

## Treatment Program

Vermont's substance use disorder treatment system includes the Vermont Department of Health, Division of Alcohol and Drug Abuse Programs (ADAP) Approved and Preferred Provider treatment programs. Preferred Providers are treatment organizations that have attained a certificate from ADAP and have an existing contract or grant from ADAP to provide treatment.

The Preferred Provider Network specializes in treatment programs and services to Vermonters with substance use disorder (SUD). There are 33 Preferred Provider locations offering Vermonters access to the type of program best suited to meet their individual treatment needs regardless of substance. Vermonters across the state have access to all levels of care. Most providers are non-profit. This system includes some but not all community mental health centers (designated agencies).

ADAP supports youth substance use disorder treatment providers to provide effective and developmentally appropriate services. Eleven (11) treatment programs provide adolescent services statewide and there are three adolescent-only specialty programs. Treatment centers provide one-on-one and group treatment to youth and their families, using the evidence based Seven Challenges model, which addresses trauma, mental health and societal factors (culture, race, ethnicity and gender), and the Brief Challenges model.

Many of these treatment centers have mental health professionals in schools to reach youth where they are; and support the youth mental health system in providing identification, intervention and referral to substance use disorder treatment services specific to their needs.

## Expenditures for Substance Abuse Prevention and Treatment

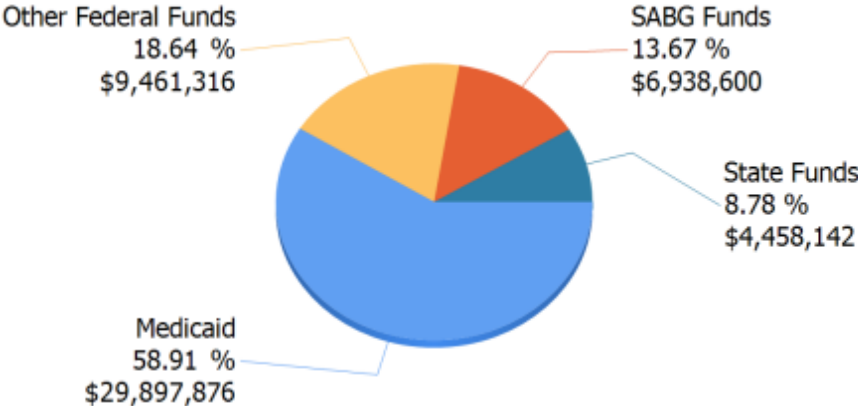
All states receive federal funds for substance abuse prevention through the Substance Abuse Prevention and Treatment Block Grant (SABG) funds administered by SAMHSA. Exhibit 1 shows the sources that Vermont used for expenditures on substance abuse prevention and treatment in 2021. As indicated, Medicaid funds and other federal funds account for the largest sources (58.91 percent and 18.64 percent, respectively).<sup>2</sup>

States submit Behavioral Assessment and Plan reports that include their priorities for use of SABG funds, as well as planned expenditures. For FY 2022–2023, Vermont designated increasing access to treatment for youth and young adult adults as priority number six and monitoring their continuing engagement with treatment as priority number seven, as well as reducing binge drinking among Vermonters aged 18 to 25 as priority number ten for use of SABG funds.<sup>3</sup>

<sup>2</sup> WebBGAS State Profile, 2021 SABG and Community Mental Health Block Grant (MHBG) Reports – Vermont 2021.

<sup>3</sup> FY 2022/2023 – (Vermont) State Behavioral Assessment and Plan, SABG, CSAP, Division of State Programs, Center for Substance Abuse Treatment, Division of State and Community Assistance: Table 1: Priority Areas and Annual Performance Indicators.

**Exhibit 1: Sources of Vermont’s 2021 Expenditures for Substance Abuse Prevention and Treatment**





## State Performance: Laws, Enforcement, and Programs

As mandated by the STOP Act, this report details Vermont's performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking.

The following sections address these measures:

***State Laws and Policies:*** These underage drinking prevention policies have been identified as best practices (or as promising practices suitable for ongoing evaluation) and fall into six categories:

- underage possession or purchase of alcohol
- underage drinking and driving
- alcohol availability
- sales and delivery to consumers at home
- alcohol pricing
- enforcement policies

***STOP Act State Survey Data:*** The STOP Act requires annual reporting of data from the 50 states and the District of Columbia on their performance in enacting, enforcing, and creating laws, regulations, and programs to prevent or reduce underage drinking. Administered since 2011, the STOP Act State Survey collects data on the following topics:

- enforcement programs to promote compliance with underage drinking laws and regulations
- programs targeted to youth, parents, and caregivers to deter underage drinking
- state interagency collaborations to implement prevention programs, best-practice standards, collaborations with tribal governments, and participation in underage drinking media campaigns
- state expenditures on the prevention of underage drinking

## Underage Possession or Purchase of Alcohol

Vermont-Underage Possession	
Is underage possession of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is possession allowed if parent or guardian is present or consents?</li> <li>• Is possession allowed if spouse is present or consents?</li> </ul>	No No
Is there an exception based on location?	No

Vermont-Underage Consumption	
Is underage consumption of alcoholic beverages prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is consumption allowed if parent or guardian is present or consents?</li> <li>• Is consumption allowed if spouse is present or consents?</li> </ul>	No No
Is there an exception based on location?	No

Vermont-Underage Internal Possession	
Is underage internal possession of alcoholic beverages prohibited?	No law
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>• Is internal possession allowed if parent or guardian is present or consents?</li> <li>• Is internal possession allowed if spouse is present or consents?</li> </ul>	N/A N/A
Is there an exception based on location?	N/A
<p>Notes: Vermont has a statutory provision that makes it unlawful for a minor to, "consume malt or vinous beverages or spirituous liquors. A violation of this subdivision may be prosecuted in a jurisdiction where the minor has consumed malt or vinous beverages or spirituous liquors, or in a jurisdiction where the indicators of consumption are observed." Vt. Stat. Ann. tit. 7, § 657. Laws that punish minors for displaying "indicators of consumption" or for "exhibiting the effects" of having consumed alcohol, but which do so without reference to a blood, breath, or urine test, are not considered as prohibiting Internal Possession for purposes of this report.</p>	

Vermont-Underage Purchase and Attempted Purchase	
Is the purchase of alcoholic beverages prohibited?	No
May youth purchase for law enforcement purposes?	No
Notes: Vermont law only prohibits minors from procuring alcohol in connection with a false representation of age. See 2000 Vt. Acts & Resolves 160.	

Vermont-Underage False Identification for Obtaining Alcohol	
Provisions Targeting Minors	
Is the use of false identification (ID) prohibited?	Yes
Does the use of a false ID result in minor's driver's license suspension?	No
Provisions Targeting Suppliers	
Is the lending or transferring or selling of a false ID prohibited?	No
Is the production of a false ID in the context of underage alcohol sales specifically prohibited?	No
Retailer Support Provisions	
Is there an incentive for the retailer to use electronic scanners for information digitally encoded on valid IDs?	No
Do state statutes or regulations mandate that state driver's licenses for persons under 21 be easily distinguishable from licenses for persons 21 and over?	Yes
May the retailer seize apparently false IDs without fear of prosecution even if the ID is ultimately deemed valid?	No
Does an affirmative defense exist for the retailer?	Yes
<ul style="list-style-type: none"> <li>Is it a specific affirmative defense (retailer reasonably believed ID was valid after examining it)?</li> </ul>	Yes
<ul style="list-style-type: none"> <li>Is it a general affirmative defense (retailer reasonably believed purchaser was over 21)?</li> </ul>	No
Does the retailer have the right to sue the minor for use of a false ID?	No
May the retailer detain a minor who used a false ID?	No
Notes: Vermont has two statutes regarding affirmative defenses. First, under Vt. Stat. Ann. tit. 7, § 658, an employee of a licensee or of a state-contracted liquor agency charged with underage furnishing may plead as an affirmative defense that the employee carefully viewed specified photographic identification, that an ordinary prudent person would believe the purchaser to be of legal age to make the purchase, and that the sale was made in good faith, based upon the reasonable belief that the purchaser was of legal age to purchase alcoholic beverages. For purposes of this report, the "good faith" and "reasonable belief" requirement is interpreted as providing the employee a defense for reasonable reliance on an apparently valid ID. Second, Vt. Stat. Ann. tit. 7, §	

602 provides that selling or furnishing to a person exhibiting "a valid authorized form of identification," which means a valid photographic operator's license, enhanced driver's license, or valid photographic nondriver identification card issued by Vermont or another state or foreign jurisdiction, a United States military identification card, or a valid passport or passport card bearing the photograph and signature of the individual is prima facie evidence of the licensee's compliance with the law prohibiting the sale or furnishing of alcoholic beverages to minors. The first provision amounts to a specific affirmative defense for state store employees and employees of retail licensees. The second provision applies to licensees and appears to provide them at least limited protection from prosecution, although the statutory language is unclear regarding how the provision is to be applied.

### Underage Drinking and Driving

Vermont-Youth Blood Alcohol Concentration Limits (Underage Operators of Noncommercial Motor Vehicles)	
What is the maximum blood alcohol concentration (BAC) limit for an underage driver of a motor vehicle?	0.02
Does a BAC level in excess of limit automatically establish a violation (per se violation)?	Yes
What is the minimum age to which the limit applies?	0
What is the maximum age to which the limit applies?	21

Vermont-Loss of Driving Privileges for Alcohol Violations by Minors ("Use/Lose" Laws)	
Is there a "use/lose" law that suspends or revokes a minor's driving privileges for alcohol violations?	No
What types of violation lead to license suspension or revocation?	
• Purchase of alcohol	N/A
• Possession of alcohol	N/A
• Consumption of alcohol	N/A
The law applies to people under what age?	N/A
Is suspension or revocation mandatory or discretionary?	N/A
What is the length of suspension/revocation?	
Minimum number of days	N/A
Maximum number of days	N/A

Vermont-Graduated Driver's Licenses	
Learner Stage	
What is the minimum age for permit to drive with parents, guardians or other adults (other than instructors)?	15

What is the minimum number of months driver must hold learner permit before advancing to intermediate stage?	12
What is the minimum number of hours of driving with parents, guardians or adults before advancing to intermediate stage?	40 (10 of which must be at night)
<b>Intermediate Stage</b>	
What is the minimum age for driving without adult supervision?	16
For night driving, when does adult supervision requirement begin?	None
Can law enforcement stop a driver for night driving violation as a primary offense?	No
Are there restrictions on passengers?	Yes. During first 3 months, restricted to driving alone or with a licensed parent, instructor or person at least 25 years old. During next 3 months, may also transport family members
Can law enforcement stop driver for violation of passenger restrictions as a primary offense?	No
<b>License Stage</b>	
What is the minimum age for full license privileges and lifting of restrictions?	16 years, 6 months

### Alcohol Availability

<b>Vermont-Furnishing Alcohol to Minors</b>	
Is furnishing of alcoholic beverages to minors prohibited?	Yes
Are there exceptions based on family relationships?	
<ul style="list-style-type: none"> <li>Is furnishing allowed if the parent or guardian supplies the alcohol?</li> </ul>	No
<ul style="list-style-type: none"> <li>Is furnishing allowed if the spouse supplies the alcohol?</li> </ul>	No
Is there an exception based on location?	No
<b>Affirmative Defense for Sellers and Licensees</b>	
Does law require seller/licensee to be exonerated of furnishing to a minor if the minor has not been charged?	No

<b>Vermont-Responsible Beverage Service (RBS)—Mandatory</b>	
Is there a state law pertaining to Beverage Service Training?	Yes, mandatory
If training is mandatory, who must participate?	Licensee, manager, and server/seller
If training is voluntary, which of the following incentives are offered?	
<ul style="list-style-type: none"> <li>Defense in dram shop liability lawsuits</li> </ul>	N/A

<ul style="list-style-type: none"> <li>• Discounts in dram shop liability insurance, license fees, or other</li> </ul>	N/A
<ul style="list-style-type: none"> <li>• Mitigation of fines or other administrative penalties for sales to minors or intoxicated persons</li> </ul>	N/A
<ul style="list-style-type: none"> <li>• Protection against license revocation for sales to minors or sales to intoxicated persons</li> </ul>	N/A
Does the RBS law apply to on-premises establishments (such as bars and restaurants) or off-premises establishments (such as liquor stores)?	Both
Does the RBS law apply to new or existing licensees?	Both

<b>Vermont-Minimum Ages for Sellers of Alcohol – Off-Premises (i.e., Liquor Stores)</b>	
What is the minimum age requirement for off-premises retail establishments?	
Beer	16
Wine	16
Spirits	None
Does a manager or supervisor have to be present when an underage person is selling beverages?	No
Notes: Vermont statutes and regulations are silent regarding the minimum age of seller for distilled spirits sold for off-premises consumption, which occur only in state-controlled outlets. Vermont's Liquor Control Board establishes minimum age of seller in its outlets as a matter of internal board policy.	

<b>Vermont-Minimum Age for Alcohol Servers and Bartenders – On-Premises (i.e., Restaurants and Bars)</b>	
What is the minimum age requirement for servers in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
What is the minimum age requirement for bartenders in on-premises establishments?	
Beer	18
Wine	18
Spirits	18
Does a manager or supervisor have to be present when an underage person is selling beverages?	No

Vermont-Distance Limitations Applied to New Alcohol Outlets Near Universities, Colleges, and Primary and Secondary Schools	
Colleges and Universities	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A
Primary and Secondary Schools	
Is there a distance requirement for off-premises outlets (i.e., liquor stores)?	No
Is there a distance requirement for on-premises outlets (i.e., restaurants and bars)?	No
To which alcohol products does requirement apply?	N/A

Vermont-Dram Shop Liability	
Does a statute create dram shop liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law dram shop liability exist?	Unclear
Notes: Vt. Stat. Ann. tit. 7, Â§ 501 includes a responsible beverage service defense.	

Vermont-Social Host Liability	
Does a statute create social host liability?	Yes
Does the statute limit damages that may be recovered?	No
Does the statute limit who may be sued?	No
Does the statute limit elements or standards of proof?	No
Does common law social host liability exist?	No

Vermont-Prohibitions Against Hosting Underage Drinking Parties	
Does a statute prohibit hosting underage drinking parties?	No
Is the statute specific to underage parties, or a general prohibition against permitting underage drinking on the property?	N/A
What action by underage guest triggers a violation?	N/A
Property type covered by the law?	N/A
What level of knowledge by the host is required?	N/A

Does host’s preventive action protect him/her from being held liable?	N/A
Are there any exceptions for underage guests?	N/A

Vermont-Keg Registration	
How is a keg defined (in gallons)?	Equal to or greater than 5.00
Prohibitions	
Is it illegal to possess an unregistered or unlabeled keg and if so, what is the penalty?	No
Is it illegal to destroy the label on a keg, and if so, what is the penalty?	Yes (maximum fine \$1,000)
What purchaser information is collected?	
Must the retailer collect the name and address?	Yes
Must the retailer collect the ID number, name and address on license or other government information?	Yes
Must the retailer collect the address at which keg will be consumed?	No
Must warning information be given to purchaser?	Active (requires action by purchaser)
Is a deposit required?	\$25.00
Does law cover disposable kegs?	No
Notes: Although Vermont does not require a retailer to record the number of a keg purchaser's ID, it does require that the purchaser's name, address, and date of birth be recorded as they appear on the purchaser's identification.	

Vermont-High-Proof Grain Alcohol Beverages	
Are there restrictions on the sale of high-proof grain alcohol beverages?	Yes; also, this state is a control state, and control states may impose additional restrictions on the sale of products that are not reflected in statute or regulation.
Are restrictions based on Alcohol by Volume (ABV)?	No
Are there exceptions to restrictions?	No

### Sales and Delivery to Consumers at Home

Vermont-Retailer Interstate Shipments of Alcohol	
Are out-of-state retailers prohibited from sending interstate shipments to in-state consumers?	
Beer	Prohibited
Wine	Prohibited
Spirits	Prohibited



Vermont-Direct Shipments/Sales	
May alcohol producers ship directly to consumers?	Yes
What alcohol types may be shipped?	Beer and wine
Must purchaser make mandatory trip to producer before delivery is authorized?	No
Age verification requirements	
Must the producer/shipper verify purchaser's age before sale?	No
Must the common carrier (deliverer) verify age of recipients?	Yes
State approval/permit requirements	
Must the producer/manufacture obtain state license or permit?	Yes
Must the common carrier (deliverer) be approved by a state agency?	Yes
Recording/reporting requirements	
Must the producer/manufacture record/report purchaser's name?	Yes
Must the common carrier (deliverer) record/report recipient's name?	No
Shipping label requirements	
Must the label state "Package contains alcohol"?	Yes
Must the label state "Recipient must be 21 years old"?	Yes

Vermont-Home Delivery	
Is home delivery of alcohol permitted?	
Beer	Permitted
Wine	Permitted
Spirits	No law

Vermont- Direct to Consumer	
Is there a policy allowing on-premises retailers to deliver alcohol to a consumer at home?	No
Which on-premises retailers can provide delivery of alcoholic beverages?	
<ul style="list-style-type: none"> <li>• Restaurant</li> <li>• Bar license</li> <li>• Third party license</li> </ul>	N/A N/A N/A

Which types of alcohol are permitted to be delivered? <ul style="list-style-type: none"> <li>• Beer</li> <li>• Wine</li> <li>• Spirits</li> <li>• Mixed Drinks</li> </ul>	N/A N/A N/A N/A
<b>Requirements and Restrictions</b>	
Are there restrictions in place addressing details of the delivery? <ul style="list-style-type: none"> <li>• Hours limited</li> <li>• Amount of alcohol limited</li> <li>• Food requirement</li> </ul>	N/A N/A N/A
Are there certain requirements that the delivery person must meet? <ul style="list-style-type: none"> <li>• Must be 21</li> <li>• Must check ID at point of delivery</li> <li>• Must receive payment regardless of delivery completion</li> </ul>	N/A N/A N/A

### Alcohol Pricing

Vermont-Alcohol Taxes	
<b>Beer</b>	
Control system for beer?	No
Specific excise tax per gallon for 5% alcohol beer	\$0.27
Ad valorem excise tax (for on-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	6.00%
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	4.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 5% alcohol beer	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	

If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 3.2 – 6% alcohol beer if applicable	
<b>Wine</b>	
Control system for wine?	No
Specific excise tax per gallon for 12% alcohol wine	\$0.55
Ad valorem excise tax (for on-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	10.00%
If retail tax rate applies, is there an exemption from general sales tax?	Yes
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	6.00%
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	4.00%
Ad valorem excise tax (for off-premises sales) on total receipts for 12% alcohol wine	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	No
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	Not relevant
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	Not relevant
Additional taxes for 6 – 14% alcohol wine if applicable	
<b>Spirits</b>	
Control system for spirits?	Yes
Specific excise tax per gallon for 40% alcohol spirits	Not relevant
Ad valorem excise tax (for on-premises sales) on total receipts for 40% alcohol spirits	

Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	Not relevant
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	
Ad valorem excise tax (for off-premises sales) on total receipts for 40% alcohol spirits	
Wholesale tax rate (if applicable)	
Retail tax rate (if applicable)	
If retail tax rate applies, is there an exemption from general sales tax?	Not relevant
<ul style="list-style-type: none"> <li>• General sales tax rate</li> </ul>	
<ul style="list-style-type: none"> <li>• Sales tax adjusted retail tax rate (the retail tax minus the general sales tax, where there is an exemption from the general sales tax)</li> </ul>	
Additional taxes for 15 – 50% alcohol spirits if applicable	

Vermont-Low-Price, High-Volume Drink Specials	
Are on-premises retailers prohibited from offering the following types of drink specials?	
Free beverages	Yes
Multiple servings at one time	No
Multiple servings for same price as single serving	No
Reduced price for a specified day or time (i.e., happy hours)	Yes (Full-day price reductions not banned)
Unlimited beverages for fixed price	No
Increased volume without increase in price	No

Vermont-Wholesaler Pricing Restrictions	
<b>Beer</b>	
Are volume discounts to retailers allowed?	Banned
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No

Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	Post and hold (14 days minimum hold; each licensee in wholesale dealer's territory must receive at least one opportunity to buy at changed price)
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<b>Wine</b>	
Are volume discounts to retailers allowed?	None
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	No
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	No law
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	No
<b>Spirits</b>	
Are volume discounts to retailers allowed?	N/A
Must wholesalers establish a minimum markup or maximum discount for each product sold to retailers?	N/A
Must wholesalers publicly post and hold (i.e., not reduce) prices for a set period of time?	N/A
Is wholesaler permitted to extend credit to retailer and if so, what is the maximum time period?	N/A

### Enforcement Policies

<b>Vermont-Compliance Check Protocols</b>	
Does the state have a written protocol for when an underage decoy is used in compliance checks?	Yes
What is the minimum age a decoy may be to participate in a compliance check?	18 (Chief Investigator or Director's permission required for 17 year olds)
What is the maximum age a decoy may be to participate in a compliance check?	20
Are there appearance requirements for the decoy?	Yes, exhibit a young adult appearance. Male: no facial hair; female: no excessive makeup
Does decoy carry ID during compliance check?	Required
May decoy verbally exaggerate his or her actual age?	Prohibited
Is decoy training mandated, recommended, prohibited, or not specified?	Not specified

Vermont-Penalty Guidelines for Sales to Minors	
Are there written guidelines for penalties that are imposed on retailers for furnishing to a minor?	Yes
What is the time period for defining second, third, and subsequent offenses?	N/A
What is the penalty for the first offense?	Letter of warning Sale/service to a 19 year old (non-compliance check): \$500 fine Sale/service to 18 year old or younger (non-compliance check): Hearing
What is the penalty for the second offense?	\$300 fine or 3-day suspension
What is the penalty for the third offense?	\$500 fine or 5-day suspension
What is the penalty for the fourth offense?	Hearing before the Liquor Control Board
Notes: These penalty guidelines apply only to sales to minor violations that occurred during compliance checks. There are different fine amounts for sales to minors that aren't part of compliance checks.	

## Vermont State Survey Responses

### State Agency Information

Agency with primary responsibility for enforcing underage drinking laws:

Vermont Department of Liquor and Lottery

### Enforcement Strategies

State law enforcement agencies use:

Cops in Shops	Yes
Shoulder Tap Operations	No
Party Patrol Operations or Programs	Yes
Underage Alcohol–Related Fatality Investigations	Yes

Local law enforcement agencies use:

Cops in Shops	No
Shoulder Tap Operations	No
Party Patrol Operations or Programs	No
Underage Alcohol–Related Fatality Investigations	Yes

State has a program to investigate and enforce direct sales/shipment laws Yes

Primary state agency responsible for enforcing laws addressing direct sales/shipments of alcohol to minors Vermont Department of Liquor and Lottery

Such laws are also enforced by local law enforcement agencies No

### Enforcement Statistics

State collects data on the number of minors found in possession Yes

Number of minors found in possession<sup>1</sup> by state law enforcement agencies 437

Number pertains to the 12 months ending 12/31/2020

Data include arrests/citations issued by local law enforcement agencies Yes

State conducts underage compliance checks/decoy operations<sup>2</sup> to determine whether alcohol retailers are complying with laws prohibiting sales to minors Yes

Data are collected on these activities Yes

Number of retail licensees in state<sup>3</sup> 2,466

Number of licensees checked for compliance by state agencies (including random checks) 317

Number of licensees that failed state compliance checks 37

Numbers pertain to the 12 months ending 12/31/2020

Compliance checks/decoy operations conducted at on-sale, off-sale, or both retail establishments Both on- and off-sale establishments

State conducts **random** underage compliance checks/decoy operations Yes

Number of licensees subject to **random** state compliance checks/decoy operations Unable to distinguish number of random checks

Number of licensees that failed **random** state compliance checks Unable to distinguish number of random checks

Local agencies conduct underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors No

Data are collected on these activities No

Number of licensees checked for compliance by local agencies Not applicable

Number of licensees that failed local compliance checks Not applicable

Numbers pertain to the 12 months ending Not applicable

### Sanctions

State collects data on fines imposed on retail establishments that furnish to minors Yes

Number of fines imposed by the state<sup>4</sup> 1

Total amount in fines across all licensees \$260

Smallest fine imposed \$260

Largest fine imposed	\$260
Numbers pertain to the 12 months ending	12/31/2020
<i>State collects data on license suspensions imposed on retail establishments specifically for furnishing to minors</i>	Yes
Number of suspensions imposed by the state <sup>5</sup>	0
Total days of suspensions across all licensees	0
Shortest period of suspension imposed (in days)	0
Longest period of suspension imposed (in days)	0
Numbers pertain to the 12 months ending	12/31/2020
<i>State collects data on license revocations imposed on retail establishments specifically for furnishing to minors</i>	Yes
Number of license revocations imposed <sup>6</sup>	0
Numbers pertain to the 12 months ending	12/31/2020

**Additional Clarification**

Underage Minor (Decoy) compliance check operations are performed both at random and based on complaints. We are unable to distinguish the number of random checks.

<sup>1</sup> Or having consumed or purchased per state statutes.

<sup>2</sup> Underage compliance checks/decoy operations to determine whether alcohol retailers are complying with laws prohibiting sales to minors.

<sup>3</sup> Excluding special licenses such as temporary, seasonal, and common carrier licenses.

<sup>4</sup> Does not include fines imposed by local agencies.

<sup>5</sup> Does not include suspensions imposed by local agencies.

<sup>6</sup> Does not include revocations imposed by local agencies.

**Underage Drinking Prevention Programs Operated or Funded by the State**

**School-Based Substance Abuse Services Grant**

Number of youth served	15,049
Number of parents served	9,643
Number of caregivers served	No data
Program has been evaluated	Yes
Evaluation report is available	Yes
URL for evaluation report:	PIRE.org
URL for more program information:	<a href="http://www.healthvermont.gov/alcohol-drug-abuse/programs-services/prevention-programs">http://www.healthvermont.gov/alcohol-drug-abuse/programs-services/prevention-programs</a>

**Program Description:** Fifteen school districts in VT are funded through the School-Based Substance Abuse Services Grant (SBSAS) to provide mandatory substance use screening with middle and high school students and support of school- and community-based support team for students called Whole School, Whole Community, Whole Child (WSCC). These services are to provide and enhance substance use prevention and early intervention services in the funded districts. Fifteen awards up to \$60,000 were made based on a competitive process that considered need, readiness, strength of proposed plan, budget, and number of students to be served. Optional activities can include support of classroom health curricula with proven substance use outcomes, advising and training of peer leadership groups, delivery of teacher and support staff training, and educational support groups.

<b>Regional Prevention Partnership Grants</b>	
Number of youth served	240,000
Number of parents served	No Data
Number of caregivers served	No Data
Program has been evaluated	Yes
Evaluation report is available	No
URL for evaluation report:	<a href="http://www.healthvermont.gov/alcohol-drug-">http://www.healthvermont.gov/alcohol-drug-</a>



URL for more program information:

abuse/programs-  
services/prevention-  
programs  
http://www.healthvermont.gov/alcohol-drug-abuse/programs-services/prevention-programs

**Program Description:** Regional Prevention Partnership (RPP) Grants are a customized regional response to reduce alcohol and drug use among adolescents, teens, and young adults across Vermont. Utilizing the Strategic Prevention Framework to identify needs, risk, and protective factors, regions and communities within that region select from a menu of evidence-based programs that address the four grant objectives, two of which are reduction of underage and high-risk drinking among Vermonters ages 12 to 25.

#### ParentUp Campaign

Number of youth served	Data not collected by age
Number of parents served	Available statewide; all parents in VT have access
Number of caregivers served	All parents have access
Program has been evaluated	No
Evaluation report is available	Not applicable
URL for evaluation report:	Not applicable
URL for more program information:	parentupvt.org

**Program Description:** Originally developed in 2010, the ParentUp campaign was to educate parents on how to talk to their children about alcohol and to educate parents on their roles and responsibilities related to the dangers of underage drinking. Every three to four years, a new message or campaign is rolled out on the social media site. We are in the second year of the "Embrace the Awkward" message, which educates parents on how to start awkward conversations with youth around substance use and misuse of alcohol, cannabis, opioids, etc.

#### Additional Underage Drinking Prevention Programs Operated or Funded by the State

Not applicable

#### Additional Clarification

No data

#### Additional Information Related to Underage Drinking Prevention Programs

<i>State collaborates with federally recognized tribal governments in the prevention of underage drinking</i>	No
Description of collaboration: Not applicable	
<i>State has programs to measure and/or reduce youth exposure to alcohol advertising and marketing</i>	No
Description of program: Not applicable	
<i>State collaborates with/participates in media campaigns to prevent underage drinking</i>	Yes
Federal campaigns: State collaborates with/participates in SAMSHA's national media campaign, "Talk. They Hear You." (TTHY)	Yes
Regional and local media campaigns: State developed ParentUp Vermont	Yes
Local school district efforts:	No
Other:	No
<i>State collaborates with/participates in SAMHSA's national media campaign, "Talk. They Hear You."</i>	Yes
State officially endorses TTHY efforts	No
State commits state resources for TTHY	No
State forwards TTHY materials to local areas	Yes

Other: Utilize press releases and media provided	Yes
<i>State procures funding for TTHY</i>	Yes
Pro bono	Yes
Donated air time	Yes
Earned media	Yes
Other:	No
<i>State has adopted or developed best practice standards for underage drinking prevention programs</i>	Yes
Agencies/organizations that established best practices standards:	
Federal agency(ies):	No
Agency(ies) within your state: Evidenced-based Workgroup ensures evidence based programs and standards are utilized.	Yes
Nongovernmental agency(ies):	No
Other:	No
Best practice standards description: Vermont utilizes the SAMHSA list of evidence-based strategies and the Vermont Department of Health has established and maintains an evidence-based workgroup that reviews and approves all prevention strategies to ensure compliance with standards and fidelity of each program/strategy.	
<b>Additional Clarification</b>	
No data	
<b>State Interagency Collaboration</b>	
<i>A state-level interagency governmental body/committee exists to coordinate or address underage drinking prevention activities</i>	No
<i>Committee contact information:</i>	
Not applicable	
<i>Agencies/organizations represented on the committee:</i>	
Not applicable	
<i>A website or other public source exists to describe committee activities</i>	Not applicable
URL or other means of access: Not applicable	
<b>Underage Drinking Reports</b>	
<i>State has prepared a plan for preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Plan can be accessed via: Not applicable	
<i>State has prepared a report on preventing underage drinking in the last 3 years</i>	No
Prepared by: Not applicable	
Report can be accessed via: Not applicable	
<b>Additional Clarification</b>	
No Data	
<b>State Expenditures for the Prevention of Underage Drinking</b>	
<i>Compliance checks in retail outlets:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Checkpoints and saturation patrols:</i>	
Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available
<i>Community-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$2,285,891
Estimate based on the 12 months ending	03/31/2021
<i>K-12 school-based programs to prevent underage drinking:</i>	
Estimate of state funds expended	\$776,391
Estimate based on the 12 months ending	03/31/2021

*Programs targeted to institutes of higher learning:*

Estimate of state funds expended	\$100,000
Estimate based on the 12 months ending	03/31/2021

*Programs that target youth in the juvenile justice system:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

*Programs that target youth in the child welfare system:*

Estimate of state funds expended	Data not available
Estimate based on the 12 months ending	Data not available

*Other programs:*

Programs or strategies included:	Data not Available
Estimate of state funds expended:	Data not available
Estimate based on the 12 months ending:	Data not available

**Funds Dedicated to Underage Drinking***State derives funds dedicated to underage drinking from the following revenue streams:*

Taxes	No
Fines	No
Fees	No
Other: No data	No data

*Description of funding streams and how they are used:*

Not applicable

**Additional Clarification**

No data





**ICCPUD**

**THE INTERAGENCY COORDINATING COMMITTEE  
ON THE PREVENTION OF UNDERAGE DRINKING (ICCPUD)**

